

From the Magnetization Integral Equation to Cosserat and Stokes Eigenvalues

Histories of selfadjoint extensions

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$\Omega \subset \mathbb{R}^d$ bounded domain. $A : L^2(\Omega) \rightarrow L^2(\Omega)$ bounded linear.

Essential spectrum: $\text{Sp}_{\text{ess}}(A) \subset [0, 1]$ with:

- ① 0 and 1 eigenvalues of infinite multiplicity
- ② $\frac{1}{2}$ limit point of eigenvalues
- ③ if $\partial\Omega$ smooth: $\text{Sp}_{\text{ess}}(A) = \{0, 1\} \cup \{\frac{1}{2}\}$
- ④ if $\partial\Omega$ Lipschitz: $\exists \delta \in (0, \frac{1}{2}) : \text{Sp}_{\text{ess}}(A) \subset \{0, 1\} \cup [\frac{1}{2} - \delta, \frac{1}{2} + \delta]$
- ⑤ if $\Omega \subset \mathbb{R}^2$ polygon: $\exists \delta \in (0, \frac{1}{2}) : \text{Sp}_{\text{ess}}(A) = \{0, 1\} \cup [\frac{1}{2} - \delta, \frac{1}{2} + \delta]$

Example 1 : The magnetization integral equation

[Friedman-Pasciak 1984] (Electrodynamics: [Co-Darrigrand-Koné-Sakly 2007–15])
Magnetostatics in \mathbb{R}^3

$$\operatorname{div} \mathbf{B} = 0; \quad \operatorname{curl} \mathbf{H} = \mathbf{J}; \quad \mathbf{B} = \mu \mathbf{H}; \quad \mu = 1 \text{ outside of } \Omega$$

If $\mu \equiv 1$, $\operatorname{div} \mathbf{J} = 0$, $\operatorname{supp} \mathbf{J}$ compact: $\mathbf{H}^{\text{in}} := \operatorname{curl} g_0 * \mathbf{J}; \quad g_0(x) = \frac{1}{4\pi|x|}$

Magnetization: $\mathbf{B} - \mathbf{H} = v\mathbf{B}, v = 1 - \frac{1}{\mu}$

$$\operatorname{div} \mathbf{B} = 0; \quad \operatorname{curl} \mathbf{B} = \mathbf{J} + \operatorname{curl}(\mathbf{B} - \mathbf{H}) \implies \mathbf{B} = \mathbf{H}^{\text{in}} + \operatorname{curl} g_0 * \operatorname{curl} v\mathbf{B}$$

$$\operatorname{curl} \operatorname{curl} g_0 * -\nabla \operatorname{div} g_0 * = 1$$

Volume integral equation ($\mu \in \mathbb{C}$ in Ω)

$$\boxed{\mathbf{H} - (1-\mu)\mathbf{A}\mathbf{H} = \mathbf{H}^{\text{in}}}$$

Volume integral operator

$$\boxed{\mathbf{A}\mathbf{u}(x) = -\nabla \operatorname{div} \int_{\Omega} g_0(x-y) \mathbf{u}(y) dy}$$

Strongly singular! VIE Fredholm? $\rightarrow \operatorname{Sp}_{\text{ess}}(A)$

Example 1.1 : VIE in acoustic scattering

$$\operatorname{div} a(x) \nabla u + k(x)^2 u = f \quad \text{in } \mathbb{R}^d$$

$a(x) \equiv 1, \quad k(x) \equiv k \in \mathbb{C}$ outside of the bounded domain Ω .

Rewritten as perturbation problem

$$(\Delta + k^2)u = f - \operatorname{div} \alpha \nabla u - \beta u$$

with

$$\alpha(x) = a(x) - 1, \quad \beta(x) = k(x)^2 - k^2.$$

Convolution with the Helmholtz fundamental solution $g_k(x) = \frac{e^{ik|x|}}{4\pi|x|}$ ($d = 3$)

Volume integral equation

$$u(x) - \operatorname{div} \int_{\Omega} g_k(x-y) \alpha(y) \nabla u(y) dy - \int_{\Omega} g_k(x-y) \beta(y) u(y) dy = u^{\text{in}}(x)$$

Modulo lower order (compact) operators, volume integral operator

$$A_0 u(x) = -\operatorname{div} \int_{\Omega} g_0(x-y) \nabla u(y) dy$$

Example 2 : The Cosserat eigenvalue problem

[E.&F. Cosserat 1898]

$$\sigma \Delta \mathbf{u} - \nabla \operatorname{div} \mathbf{u} = 0 \text{ in } \Omega; \quad \mathbf{u} = 0 \text{ on } \partial\Omega$$

Motivation:

Eigenfunction expansion for solving the Lamé system of elasticity.

Associated eigenvalue problem of the operator

$$\Delta^{-1} \nabla \operatorname{div} \text{ in } H_0^1(\Omega)$$

Or equivalently ($\operatorname{Sp}(S) = \operatorname{Sp}(T)$ in $\mathbb{C} \setminus \{0\}$), spectral decomposition of the operator

$$[S = \operatorname{div} \Delta^{-1} \nabla] \text{ in } L^2(\Omega) = \{\nu \in L^2(\Omega) \mid \int_{\Omega} \nu = 0\}$$

The eigenvalue problem $S\nu = \alpha\nu$ becomes, with $u = \Delta^{-1} \nabla p$, the system

$$\Delta u - \nabla p = 0, \quad \operatorname{div} u = \alpha p$$

Example 2 : The Cosserat eigenvalue problem

[E.&F. Cosserat 1898]

$$\sigma \Delta \mathbf{u} - \nabla \operatorname{div} \mathbf{u} = 0 \text{ in } \Omega; \quad \mathbf{u} = 0 \text{ on } \partial\Omega$$

Motivation:

Eigenfunction expansion for solving the Lamé system of elasticity.

- Spectral decomposition of the operator

$$\Delta^{-1} \nabla \operatorname{div} \text{ in } \mathbf{H}_0^1(\Omega)$$

- Or equivalently ($\operatorname{Sp}(ST) = \operatorname{Sp}(TS)$ in $\mathbb{C} \setminus \{0\}$), spectral decomposition of the operator

$$\boxed{\mathbf{S} = \operatorname{div} \Delta^{-1} \nabla} \quad \text{in } L_\circ^2(\Omega) = \{u \in L^2(\Omega) \mid \int_\Omega u = 0\}$$

The eigenvalue problem $\mathbf{S}\mathbf{p} = \sigma\mathbf{p}$ becomes, with $\mathbf{u} = \Delta^{-1} \nabla p$, the system

$$\Delta \mathbf{u} - \nabla p = 0; \quad \operatorname{div} \mathbf{u} = \sigma p.$$

Example 2.1 : Two Stokes eigenvalue problems

Stokes eigenvalue problem, first kind

Find $\mathbf{u} \in \mathbf{H}_0^1(\Omega)$, $p \in L_\circ^2(\Omega) \setminus \{0\}$, $\sigma \in \mathbb{C}$:

$$\begin{aligned}-\Delta \mathbf{u} + \nabla p &= \sigma \mathbf{u} && \text{in } \Omega \\ \operatorname{div} \mathbf{u} &= 0 && \text{in } \Omega\end{aligned}$$

Stokes eigenvalue problem, second kind

Find $\mathbf{u} \in \mathbf{H}_0^1(\Omega)$, $p \in L_\circ^2(\Omega) \setminus \{0\}$, $\sigma \in \mathbb{C}$:

$$\begin{aligned}-\Delta \mathbf{u} + \nabla p &= 0 && \text{in } \Omega \\ \operatorname{div} \mathbf{u} &= \sigma p && \text{in } \Omega\end{aligned}$$

1st kind:

- Elliptic eigenvalue problem, compact resolvent,
- Known conditions for convergence of numerical algorithms
(discrete LBB condition...)
- Appears in dynamic problems (time stepping, Laplace transform)

2nd kind:

- No compact resolvent, infinite-dimensional eigenspace for $\sigma = 1$
($p \in \Delta C_0^\infty(\Omega)$, $\mathbf{u} = \nabla \Delta^{-1} p = \Delta^{-1} \nabla p$)
- **No convergent numerical algorithm known**
- Equivalent to Cosserat eigenvalue problem. \mathbf{S} : Schur complement.

Definition: Ladyzhenskaya-Babuška-Brezzi constant or inf-sup constant

$$\beta(\Omega) = \inf_{q \in L_0^2(\Omega)} \sup_{\mathbf{v} \in H_0^1(\Omega)} \frac{\int_{\Omega} \operatorname{div} \mathbf{v} q}{|\mathbf{v}|_1 \|q\|_0}$$

$$\|q\|_0 = \|q\|_{L^2(\Omega)} ; \quad |\mathbf{v}|_1 = \|\nabla \mathbf{v}\|_0$$

Conforming approximation: $\forall q \in L_0^2(\Omega)$, $\mathbf{v}_h \in H_0^1(\Omega)$, $N \rightarrow \infty$

Define discrete LBB constant $\beta_h(\Omega)$ analogously.

Then

Under usual approximation assumptions, the discrete LBB condition

$$\forall \mathbf{v} \in H_0^1(\Omega) \quad \beta_h(\Omega) > \beta(\Omega) > 0$$

is necessary and sufficient for spectrally correct approximation of the first kind Stokes eigenvalue problem.

Definition: Ladyzhenskaya-Babuška-Brezzi constant or inf-sup constant

$$\beta(\Omega) = \inf_{q \in L_0^2(\Omega)} \sup_{\mathbf{v} \in H_0^1(\Omega)} \frac{\int_{\Omega} \operatorname{div} \mathbf{v} q}{|\mathbf{v}|_1 \|q\|_0}$$

$$\|q\|_0 = \|q\|_{L^2(\Omega)} ; \quad |\mathbf{v}|_1 = \|\nabla \mathbf{v}\|_0$$

Conforming approximation: $X_N \subset H_0^1(\Omega)$, $M_N \subset L_0^2(\Omega)$, $N \rightarrow \infty$

Define discrete LBB constant $\beta_N(\Omega)$ analogously.

Theorem [Brezzi-Boffi-Gastaldi 1997]

Under usual approximation assumptions, the discrete LBB condition

$$\forall N : \beta_N(\Omega) \geq \beta_\infty(\Omega) > 0$$

is necessary and sufficient for spectrally correct approximation of the first kind Stokes eigenvalue problem.

The second kind Stokes (Cosserat) eigenvalues determine the LBB constant

Let $\sigma(\Omega) = \min \text{Sp}(S)$. Then

$$\sigma(\Omega) = \beta(\Omega)^2$$

Proof:

$-\Delta : H_0^1(\Omega) \rightarrow H^{-1}(\Omega)$ is the Riesz isometry.

Let $q \in L_\circ^2(\Omega)$.

$$\begin{aligned}\langle Sq, q \rangle &= \langle \operatorname{div} \Delta^{-1} \nabla q, q \rangle \\&= \langle -\Delta^{-1} \nabla q, \nabla q \rangle \\&= \|\nabla q\|_{-1}^2 \\&= \left(\sup_{\mathbf{v} \in H_0^1(\Omega)^d} \frac{\langle \nabla q, \mathbf{v} \rangle}{|\mathbf{v}|_1} \right)^2 = \left(\sup_{\mathbf{v} \in H_0^1(\Omega)^d} \frac{\langle q, \operatorname{div} \mathbf{v} \rangle}{|\mathbf{v}|_1} \right)^2 \\ \sigma(\Omega) &= \inf_{q \in L_\circ^2(\Omega)} \frac{\langle Sq, q \rangle}{\langle q, q \rangle} = \beta(\Omega)^2\end{aligned}$$

Orthogonal decomposition into invariant subspaces:

$$\begin{aligned} L^2(\Omega) &= \nabla H_0^1(\Omega) \oplus V ; & V &= H(\operatorname{div} 0, \Omega) \\ &= \nabla H^1(\Omega) \oplus V_0 ; & V_0 &= H_0(\operatorname{div} 0, \Omega) \end{aligned}$$

Recall: $Au(z) = -\nabla \operatorname{div} g_0 * (\chi_\Omega u)(z) = \nabla \cdot \int_{\Omega} \frac{\nabla g_0}{|z-y|} \cdot u(y) dy$

Recall: $\nabla H_0^1(\Omega) \rightarrow Au = u$
 $V_0 \rightarrow Au = 0$
 $W \rightarrow Au = \nabla \mathcal{S}(\gamma_n u) \in W$

$$u \in \nabla H_0^1(\Omega) \implies Au = u$$

$$u \in V_0 \implies Au = 0$$

$$u \in W \implies Au = \nabla \mathcal{S}(\gamma_n u) \in W$$

$\mathcal{S} \geq 0$: harmonic single layer potential

Isomorphisms (normal trace and solution of Neumann problem):

$$W \ni u \mapsto [u]_{\partial\Omega} = n \cdot u|_{\partial\Omega} \in H^{-1/2}(\partial\Omega)$$

$$V_0 \ni u \mapsto \partial_n S u = (u - u)|_{\partial\Omega} \in H^{1/2}(\partial\Omega)$$

Orthogonal decomposition into invariant subspaces:

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Recall: $\mathcal{S}(u)(x) = -\nabla u \cdot \nu_{\partial\Omega} \ast (\chi_{\partial\Omega})(x) = \int_{\partial\Omega} u(y) \nu_{\partial\Omega}(y) dy$

Lemma

$$u \in \nabla H_0^1(\Omega) \implies Au = u$$

$$u \in V_0 \implies Au = 0$$

$$u \in W \implies Au = \nabla \mathcal{S}(\gamma_n u) \in W$$

$\mathcal{S} \circ \gamma_n$: harmonic single layer potential

Isomorphisms (normal trace and solution of Neumann problem):

$$W \ni u \mapsto \gamma_n u = n \cdot u|_{\partial\Omega} \in H^{1/2}_0(\partial\Omega)$$

$$V_0 \ni u \mapsto \partial_n u = (n \cdot u)|_{\partial\Omega} \in L^2(\partial\Omega)$$

Orthogonal decomposition into invariant subspaces:

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Recall: $Au(x) = -\nabla \operatorname{div} g_0 * (\chi_\Omega u)(x) = \nabla_x \int_\Omega \nabla_y \frac{1}{4\pi|x-y|} \cdot u(y) dy$

$$u \in \nabla H_0^1(\Omega) \implies Au = u$$

$$u \in V_0 \implies Au = 0$$

$$u \in W \implies Au = \nabla \mathcal{S}(\gamma_n u) \in W$$

\mathcal{S} : harmonic single layer potential

Isomorphisms (normal trace and solution of Neumann problem):

$$W \ni u \mapsto [u]_{\partial\Omega} = n \cdot u|_{\partial\Omega} \in H^{-1/2}(\partial\Omega)$$

$$V_0 \ni u \mapsto \partial_n S[u] = \{u\} \in H^{1/2}(\partial\Omega)$$

Orthogonal decomposition into invariant subspaces:

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Recall: $A\mathbf{u}(x) = -\nabla \operatorname{div} g_0 * (\chi_\Omega \mathbf{u})(x) = \nabla_x \int_\Omega \nabla_y \frac{1}{4\pi|x-y|} \cdot \mathbf{u}(y) dy$

Lemma (Integration by parts)

$$\mathbf{u} \in \nabla H_0^1(\Omega) \implies A\mathbf{u} = \mathbf{u}$$

$$u \in W \implies A u - \nabla \operatorname{div} g_0(u, \cdot) \in W$$

\Leftrightarrow harmonic single layer potential

Isomorphisms (normal trace and solution of Neumann problem)

$$W \cong \{u \in H^1(\Omega) : \operatorname{div} u = 0\}$$

$$W \cong \{u \in H^1(\Omega) : \operatorname{div} u = 0\} / \{u \in H^1(\Omega) : \operatorname{div} u = 0, u \in V\}$$

Orthogonal decomposition into invariant subspaces:

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$$\mathbf{u} \in V_0 \implies A\mathbf{u} = 0$$

$$\mathbf{u} \in W \implies A\mathbf{u} = \mathcal{S}^\omega(\mathbf{u}, \mathbf{u}) \in W$$

\mathcal{S}^ω : harmonic single layer potential

Isomorphisms (normal trace and solution of Neumann problem)

$$W \cong \mathbb{H}^1(\partial\Omega) \quad \text{and} \quad V \cong \mathbb{H}^1(\partial\Omega)$$

$$\mathcal{S}^\omega: \mathbb{H}^1(\partial\Omega) \rightarrow \mathbb{H}^1(\partial\Omega)$$

Orthogonal decomposition into invariant subspaces:

$$\begin{aligned} L^2(\Omega) &= \nabla H_0^1(\Omega) \oplus V; & V &= H(\operatorname{div} 0, \Omega) \\ &= \nabla H^1(\Omega) \oplus V_0; & V_0 &= H_0(\operatorname{div} 0, \Omega) \\ &= \textcolor{red}{\nabla H_0^1(\Omega)} \oplus V_0 \oplus W; & W &= \nabla H^1(\Omega) \cap V : \text{harmonic vector fields} \end{aligned}$$

Recall: $Au(x) = -\nabla \operatorname{div} g_0 * (\chi_\Omega u)(x) = \nabla_x \int_\Omega \nabla_y \frac{1}{4\pi|x-y|} \cdot u(y) dy$

Lemma (Integration by parts)

$$u \in \nabla H_0^1(\Omega) \implies Au = u$$

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\mathcal{S} : harmonic single layer potential

Isomorphisms (normal trace and solution of Neumann problem)

$\nabla H_0^1(\Omega) \cong H(\operatorname{div} 0, \Omega)$

$H(\operatorname{div} 0, \Omega) \cong H^1(\Omega)$

Orthogonal decomposition into invariant subspaces:

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Recall: $\mathbf{A}\mathbf{u}(x) = -\nabla \operatorname{div} g_0 * (\chi_\Omega \mathbf{u})(x) = \nabla_x \int_\Omega \nabla_y \frac{1}{4\pi|x-y|} \cdot \mathbf{u}(y) dy$

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Isomorphisms (normal trace and solution of Neumann problem):

$$W \ni \mathbf{u} \leftrightarrow \gamma_n \mathbf{u} = n \cdot \mathbf{u} \big|_{\partial\Omega} \in H_*^{-1/2}(\partial\Omega)$$

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$$\mathbf{A}|_W \leftrightarrow \partial_n \mathcal{S} = \left(\frac{1}{2} + K' \right) \Big|_{H_*^{-1/2}(\partial\Omega)}$$

Corollary (VIO \rightarrow double layer BIO)

$$\text{Sp}_{\text{ess}}(A) = \{0, 1\} \cup \text{Sp}_{\text{ess}}\left(\frac{1}{2} + K'\right)$$

Similarly, for the acoustic scattering VIO $A_0 = -\operatorname{div} \int_{\Omega} g_0(x-y) \nabla u(y) dy$:

$$\text{Sp}_{\text{ess}}(A_0) = \{0, 1\} \cup \text{Sp}_{\text{ess}}\left(\frac{1}{2} + K\right)$$

Harmonic **double layer** potential boundary integral operator

$$Ku(x) = \int_{\partial\Omega} \partial_n(y) g_0(x-y) u(y) ds(y)$$

Classical facts about the spectrum of $\frac{1}{2} + K$ (in L^2 or $H^{\frac{1}{2}}$):

- ① [Fredholm 1900] : If $\partial\Omega$ smooth: $\text{Sp}_{\text{ess}}\left(\frac{1}{2} + K\right) = \left\{\frac{1}{2}\right\}$
- ② [Poincaré 1896], ..., [Co 2007] : If $\partial\Omega$ Lipschitz, then in $H^{\frac{1}{2}}(\Omega) \cap L^2_{\circ}(\Omega)$
 $\text{Sp}\left(\frac{1}{2} + K\right) \subset (0, 1)$
- ③ [Co-Stephan 1981] If $\Omega \subset \mathbb{R}^2$ polygon: $\exists \delta \in (0, \frac{1}{2})$:
 $\text{Sp}_{\text{ess}}\left(\frac{1}{2} + K\right) = \left[\frac{1}{2} - \delta, \frac{1}{2} + \delta\right]$

The operator B : Two different selfadjoint extensions

In the electrodynamic scattering problem, when both the electric permittivity and the magnetic permeability are piecewise constant, one finds the VIE

$$\mathbf{E} - \eta A\mathbf{E} - \nu B\mathbf{E} = \mathbf{E}^{\text{in}}$$

with $\eta, \nu \in \mathbb{C}$ and the operators (modulo compact perturbations)

$$A\mathbf{u}(x) = -\nabla \operatorname{div} \int_{\Omega} g_0(x-y) \mathbf{u}(y) dy$$
$$B\mathbf{u}(x) = \operatorname{curl} \int_{\Omega} g_0(x-y) \operatorname{curl} \mathbf{u}(y) dy$$

On $C_0^\infty(\Omega)$, we have seen $A + B = 1$.

Define \mathcal{B} as the extension from $C_0^\infty(\Omega)$ to $L^2(\Omega)$ of the bounded operator B (Hilbert-Schmidt) $\longrightarrow \lambda - B_0 - 1$ on $L^2(\Omega)$.

\mathcal{B} is selfadjoint.

If $\partial\Omega$ is smooth, then $\operatorname{Im}(\lambda - B_0 - 1) = \operatorname{Im}(\lambda - B)$.

The operator B : Two different selfadjoint extensions

In the electrodynamic scattering problem, when both the electric permittivity and the magnetic permeability are piecewise constant, one finds the VIE

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with $\eta, \nu \in \mathbb{C}$ and the operators (modulo compact perturbations)

$$A\mathbf{u}(x) = -\nabla \operatorname{div} \int_{\Omega} g_0(x-y) \mathbf{u}(y) dy$$

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On $C_0^\infty(\Omega)$, we have seen $A + B = 1$.

Define B_0 as the extension from $C_0^\infty(\Omega)$ to $L^2(\Omega)$ of the bounded operator B (Ψ DO of order 0) $\implies A + B_0 = 1$ on $L^2(\Omega)$.

Corollary

If $\partial\Omega$ is smooth, then $\operatorname{Sp}_{\text{ess}}(\eta A + \nu B_0) = \{\eta, \nu, \frac{\eta+\nu}{2}\}$

However...

...the answer is no

The physical operator B has $H(\text{curl}, \Omega)$ as its domain of definition and is unbounded in L^2 .

$$B \neq B_0$$

The VIE $(\mathbf{I} - \gamma A - \nu B_0)E = E^2$ in L^2 corresponds to a non-physical scattering problem.

The operators A and B have distinct invariant subspaces.

What about

If $\partial\Omega$ is smooth, then $\text{Sp}_{\text{ess}}(\gamma A + \nu B) = \{0, \frac{\pi}{2}, \pi, \frac{3}{2}\pi\}$

...and now due to Gelfand...

$$(\mathbf{I} - A)v(x) = -(\gamma A - \nu B)v \int_{\partial\Omega} \frac{v(y) dy}{|x - y|}$$

Theorem: $\mathbf{I} - A$ is a compact operator and $\mathbf{I} - A$ has a unique selfadjoint extension

However...

[Co-Darrigrand-Sakly 2011]

The “physical” operator B has $H(\mathbf{curl}, \Omega)$ as its domain of definition and is unbounded in L^2

$$B \neq B_0$$

The VIE $(\mathbf{1} - \eta A - v B_0) \mathbf{E} = \mathbf{E}^{\text{in}}$ in L^2 corresponds to a non-physical scattering problem.

The operators A and B have distinct invariant subspaces.

Theorem [Co-Darrigrand-Sakly 2011]

If $\partial\Omega$ is smooth, then $\text{Sp}_{\text{ess}}(\eta A + v B) = \{0, \frac{\eta}{2}, \eta, v, \frac{v}{2}\}$

...and now back to Green's functions

$$(A - \lambda) u(x) = -(\beta A - \gamma \nabla \cdot \phi) \int_{\partial\Omega} \frac{\phi(y) dy}{4\pi|x-y|}$$

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However...

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Theorem [Co-Darrigrand-Sakly 2011]

If $\partial\Omega$ is smooth, then $\text{Sp}_{\text{ess}}(\eta A + v B) = \{0, \frac{\eta}{2}, \eta, v, \frac{v}{2}\}$

...and now over to Cosserat...

$$(\mathbf{A} - A)u(x) = -(\mathbf{B} \mathbf{A} - \mathbf{V} \mathbf{C} u) \int_{\partial\Omega} \frac{\partial(y)}{\partial n(x-y)} u(y) dy$$

where $\mathbf{A} = (\mathbf{1} - \mathbf{B} \mathbf{A} - \mathbf{V} \mathbf{C})^{-1}$ is the inverse of the operator $\mathbf{1} - \mathbf{B} \mathbf{A} - \mathbf{V} \mathbf{C}$.

However...

[Co-Darrigrand-Sakly 2011]

The “physical” operator B has $H(\mathbf{curl}, \Omega)$ as its domain of definition and is unbounded in L^2

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The VIE $(\mathbf{1} - \eta A - v B_0) \mathbf{E} = \mathbf{E}^{\text{in}}$ in L^2 corresponds to a non-physical scattering problem.

The operators A and B have distinct invariant subspaces.

Theorem [Co-Darrigrand-Sakly 2011]

If $\partial\Omega$ is smooth, then $\text{Sp}_{\text{ess}}(\eta A + v B) = \{0, \frac{\eta}{2}, \eta, v, \frac{v}{2}\}$

...and now over to Cosserat...

$$(\lambda - A)\mathbf{u}(x) = -(\lambda \Delta - \nabla \operatorname{div}) \int_{\Omega} \frac{\mathbf{u}(y) dy}{4\pi|x-y|}$$

Cosserat with a different boundary condition (radiation condition) !

Recall: The Cosserat eigenvalue problem

$$\sigma \Delta \mathbf{u} = \nabla \operatorname{div} \mathbf{u}; \quad \mathbf{u} \in \mathbf{H}_0^1(\Omega)$$

\iff Spectral problem for the operator

$$S = \operatorname{div} \Delta^{-1} \nabla \text{ in } L_\circ^2(\Omega); \quad \Delta = \Delta_{\text{Dir}}$$

Classical result [Mikhlin 1973]

If $\partial\Omega$ is smooth ([Crouzeix 1997]: C^3), then

- ① There is a o.n. basis of eigenfunctions
- ② $\sigma = 1$ is an eigenvalue of infinite multiplicity
- ③ $\sigma = \frac{1}{2}$ is a limit point of eigenvalues

Example [E&F Cosserat 1898]

Ω ball in \mathbb{R}^d :

$$\sigma_k = \frac{k}{2k+d-2}, \quad k \geq 1 : \quad \frac{1}{d} \nearrow \frac{1}{2}$$

Simple observations

For any bounded domain:

- ① $\text{Sp}(S) \subset [0, 1]$
- ② 1 is ev of ∞ multiplicity: $p \in \Delta H_0^2(\Omega) \Rightarrow Sp = p$
- ③ $\text{Sp}(S)$ is invariant under translations, rotations, dilations
- ④ In $d = 2$, $\text{Sp}(S)$ is symmetric wrt $\frac{1}{2}$
 $\text{curl curl} = r \circ (\nabla \text{div}) \circ r^{-1}$, r rotation by 90° , and
 $\sigma \Delta - \nabla \text{div} = -((1 - \sigma) \nabla \text{div} + \sigma \text{curl curl})$

Main quantity of interest: $\sigma(\Omega) := \inf_{\lambda \in \text{Sp}(S)} |\lambda|$ (negative part)

Some properties:
- Ω simply connected $\Rightarrow \sigma(\Omega) > 0$

- Ω bounded John domain $\Rightarrow \sigma(\Omega) > 0$

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Some properties:
- Ω simply connected $\Rightarrow \sigma(\Omega) > 0$
 Ω Lipschitz $\Rightarrow \sigma(\Omega) > 0$

- Ω bounded John domain $\Rightarrow \sigma(\Omega) > 0$

- Ω convex $\Rightarrow \sigma(\Omega) = 0$

Simple observations

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[Friedrichs 1937], . . . , [Babuška 1971]: Ω Lipschitz $\Rightarrow \sigma(\Omega) > 0$

Best result [Acosta-Durán-Muschietti 2006], [Durán 2012]

Ω bounded John domain $\Rightarrow \sigma(\Omega) > 0$

► Digression: Starshaped and John domains

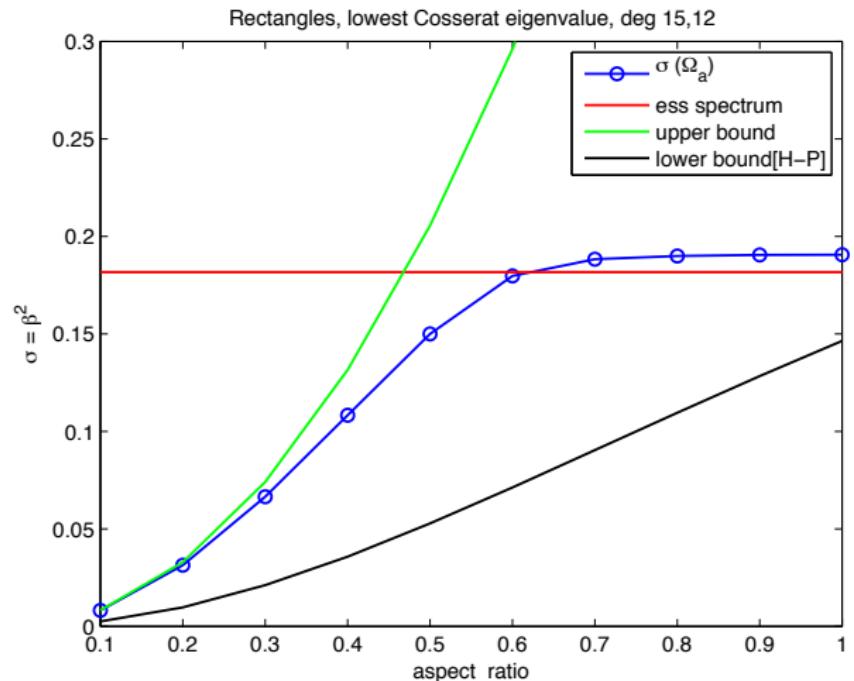
The Cosserat eigenvalue problem: The rectangle

Computation on rectangles with aspect ratio $0.1 \dots 1$

80 elements (Q_{15}, Q_{12}), ~ 30000 dof

First Cosserat eigenvalue (computed with a Stokes solver)

- $\sigma(\Omega) = \beta(\Omega)^2$ is the minimum of the Cosserat spectrum



Trying to find an asymptotic expansion as $a = \varepsilon \rightarrow 0$:

Change of scale $y \mapsto \frac{y}{\varepsilon}$: $\Omega_\varepsilon \mapsto$ square.

Insert into Cosserat or Stokes eigenvalue problem.

Expand in powers of ε .

No luck so far...

But: The leading term in the expansion suggests a (quasi-)mode where

$$u(x, y) = \cos(\pi x)$$

and $\Delta^{\text{ext}} \nabla u$ can be computed explicitly:

$$\Delta = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad u(x, y) = \frac{1}{2} \sin \pi x (1 - \cosh \pi y)$$

This leads to an upper bound $\sigma(\Omega_\varepsilon) \leq \frac{1}{16\varepsilon^2} + 1 - \frac{2}{\pi\varepsilon} \tanh \frac{\pi}{2\varepsilon}$



Trying to find an asymptotic expansion as $a = \varepsilon \rightarrow 0$:

Change of scale $y \mapsto \frac{y}{\varepsilon}$: $\Omega_\varepsilon \mapsto$ square.

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Expand in powers of ε .

No luck so far..

But: The leading term in the expansion suggests a (quasi-)mode where

$$p(x, y) = \cos(\pi x)$$

and $\Delta^{-1} \nabla p$ can be computed explicitly:

$$\mathbf{u} = \begin{pmatrix} u \\ 0 \end{pmatrix}; \quad u(x, y) = \frac{1}{\pi} \sin \pi x \left(1 - \frac{\cosh \pi y}{\cosh \frac{\pi \varepsilon}{2}} \right)$$

This leads to an upper bound $\sigma(\Omega_\varepsilon) \leq \frac{|u|_1^2}{\|p\|_0^2} = 1 - \frac{2}{\pi \varepsilon} \tanh \frac{\pi \varepsilon}{2}$

$$\sigma(\Omega_\varepsilon) \leq \frac{\pi^2}{12} \varepsilon^2$$

The Horgan-Payne inequality [Horgan-Payne 1983], [Co-Dauge 2015]

Ω star-shaped wrt a ball.

Define $\omega = \text{minimal angle between radius vector and tangent at the boundary}$. Then ([Co-Dauge]: for some domains..., in particular rectangle)

$$\sigma(\Omega) \geq \sin^2 \frac{\omega}{2}$$

For the rectangle Ω_ε

$$\sigma(\Omega_\varepsilon) \geq \frac{\varepsilon^2}{4(1 + \varepsilon^2 + \sqrt{1 + \varepsilon^2})} \geq \frac{\varepsilon^2}{7}$$

New phenomenon:

The eigenfunctions have corner singularities $\mathbf{u} \sim r^\lambda$ at the corners, and their strength (exponent of singularity λ) depends on the eigenvalue σ . The situation $\text{Re } \lambda(\sigma) = 0$ corresponds to the continuous spectrum.

The exponent λ can be found with Kondrat'ev's method. It satisfies

$$(1 - 2\sigma) \frac{\sin \lambda \omega}{\lambda} = \pm \sin \omega.$$

Result [Co-Crouzeix-Dauge-Lafranche 2015]

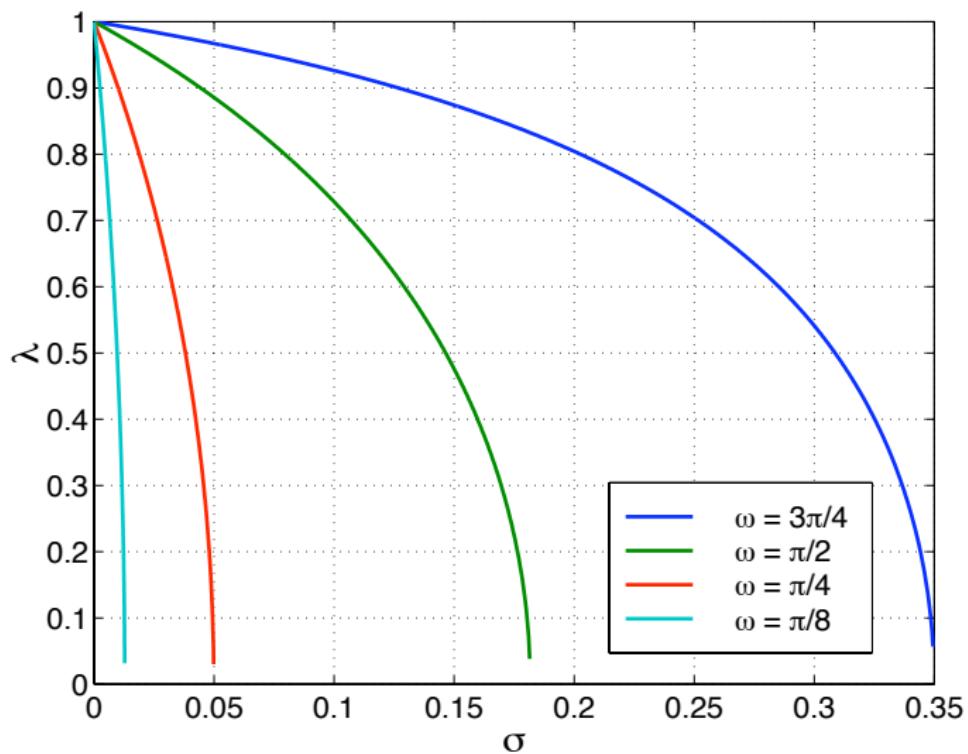
A corner angle ω contributes to $\text{Sp}_{\text{ess}}(S)$ the interval

$$[\frac{1}{2} - \delta, \frac{1}{2} + \delta] \text{ with } \delta = \frac{\sin \omega}{\omega}$$

Corollary for the rectangle: $\text{Sp}_{\text{ess}}(S) = [\frac{1}{2} - \frac{1}{\pi}, \frac{1}{2} + \frac{1}{\pi}]$

Remark: This is not the same interval as for $\text{Sp}_{\text{ess}}(\frac{1}{2} + K)$.

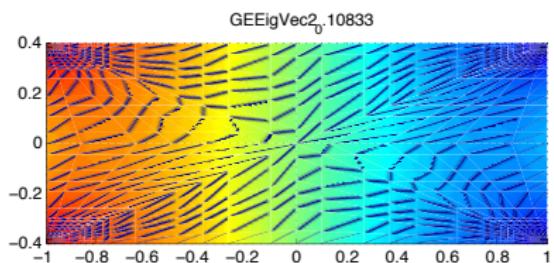
Exponent of singularity vs Cosserat eigenvalue (Rectangle: green line)



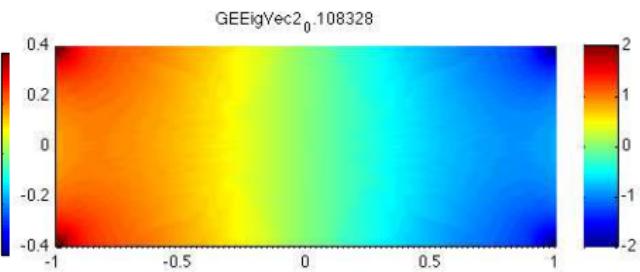
Rectangle: First 2 Cosserat eigenfunctions

Rectangle, aspect ratio 0.4

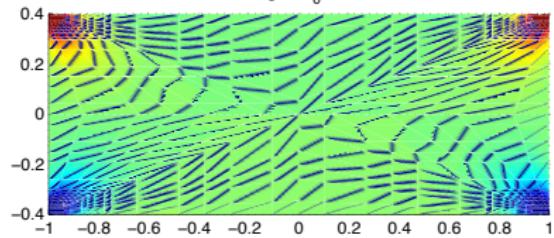
Degrees: 6,3



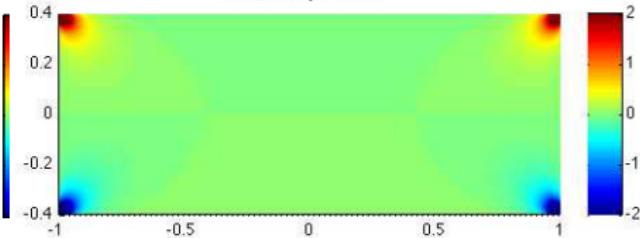
Degrees: 15,12



GEEigVec_{3,0}.202677

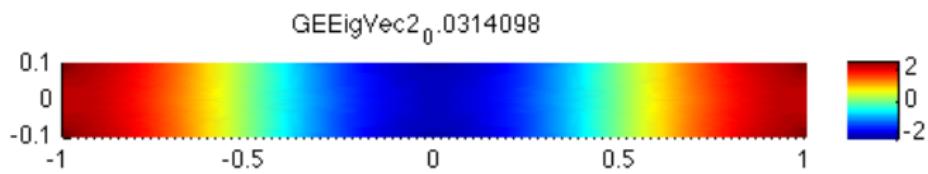


GEEigVec_{3,0}.191014



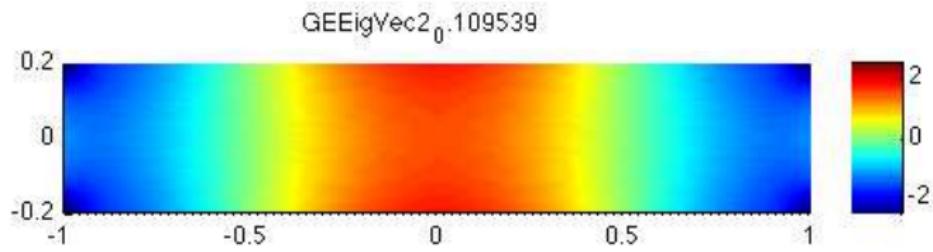
Rectangle: First eigenfunction

Rectangle, aspect ratio 0.1



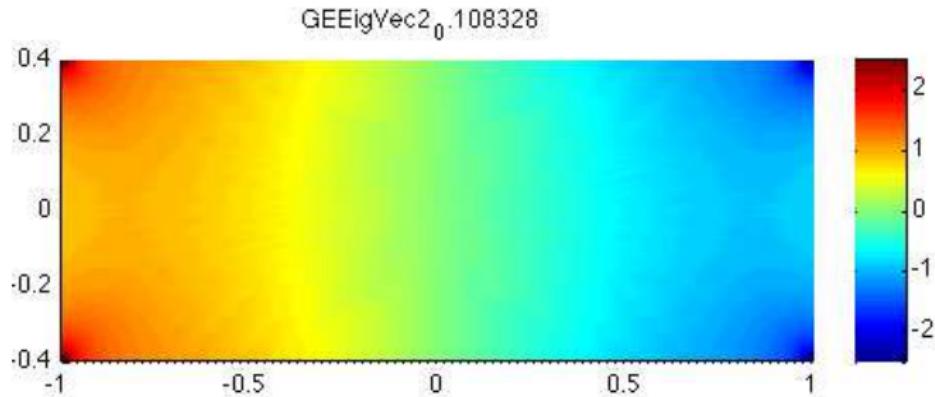
Rectangle: First eigenfunction

Rectangle, aspect ratio 0.2



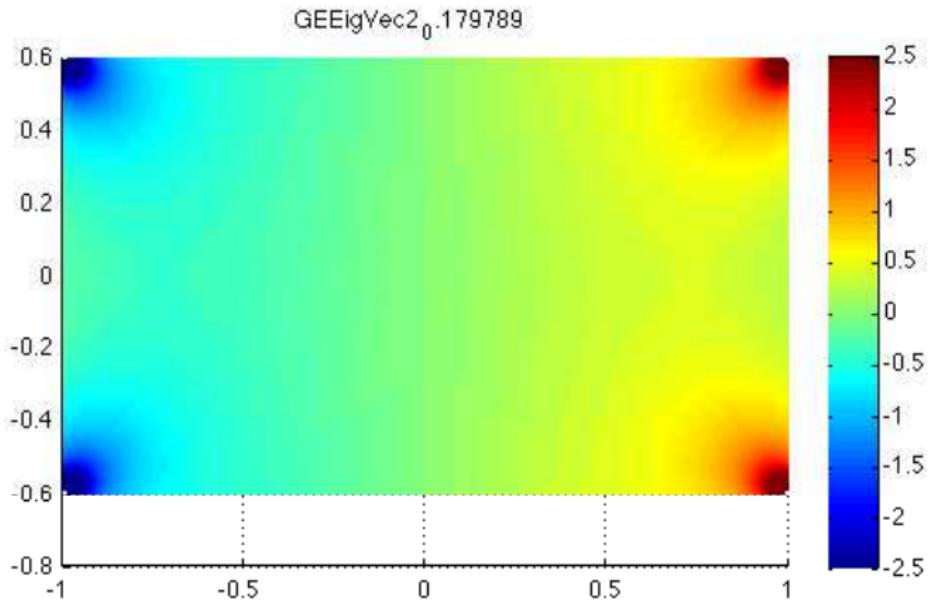
Rectangle: First eigenfunction

Rectangle, aspect ratio 0.4



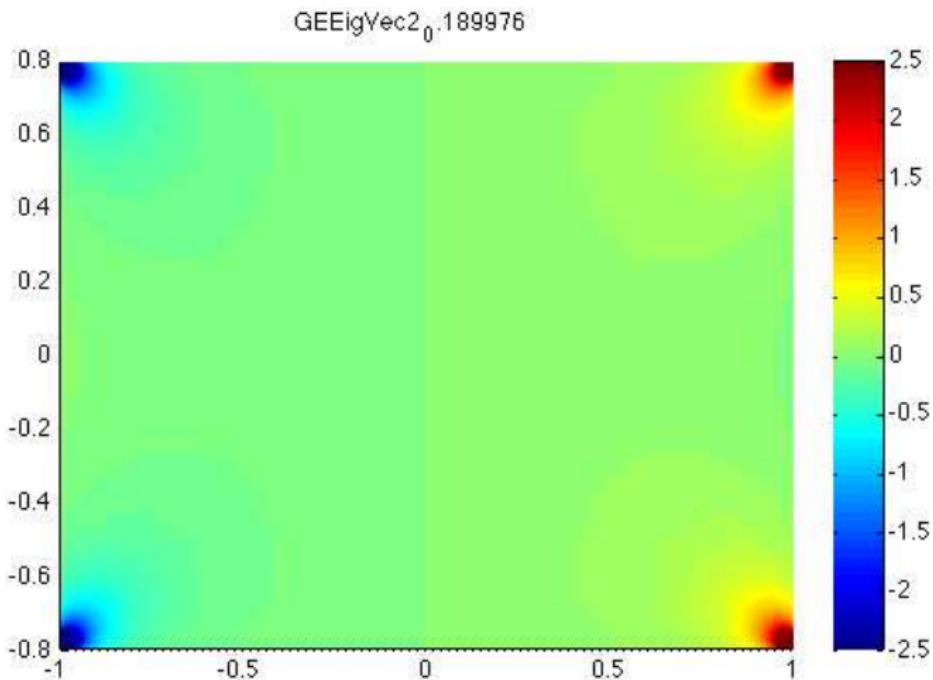
Rectangle: First eigenfunction

Rectangle, aspect ratio 0.6



Rectangle: First eigenfunction

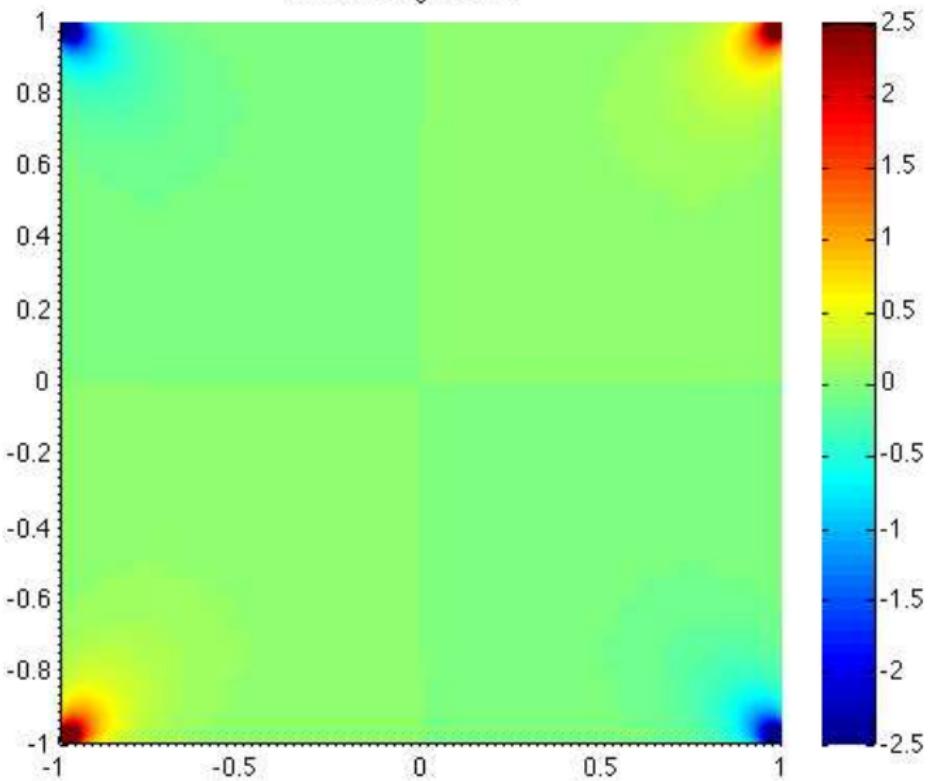
Rectangle, aspect ratio 0.8



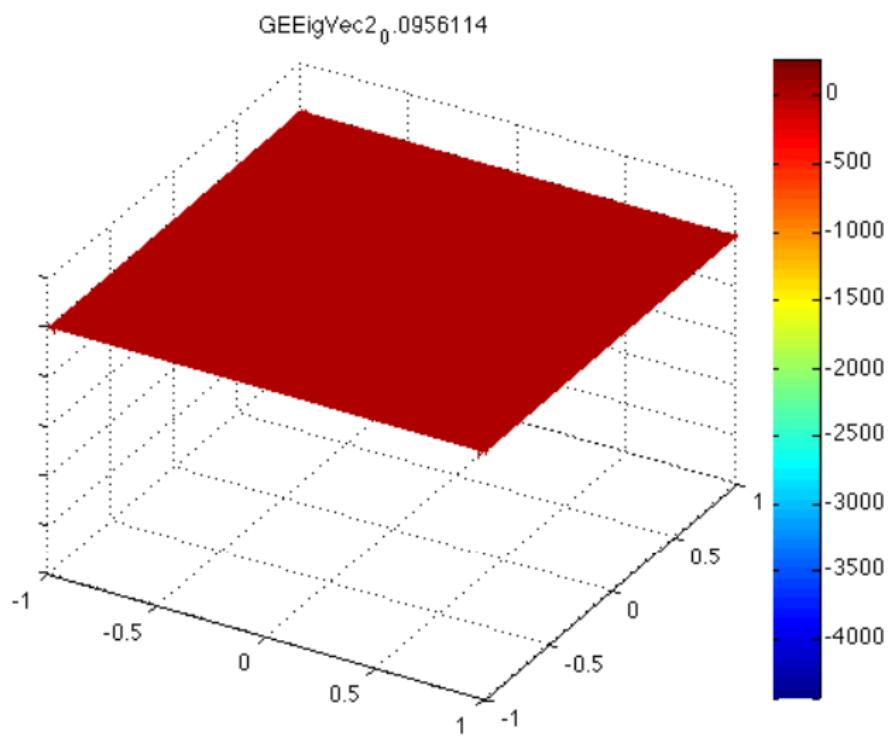
Rectangle: First eigenfunction

Rectangle, aspect ratio 1.0

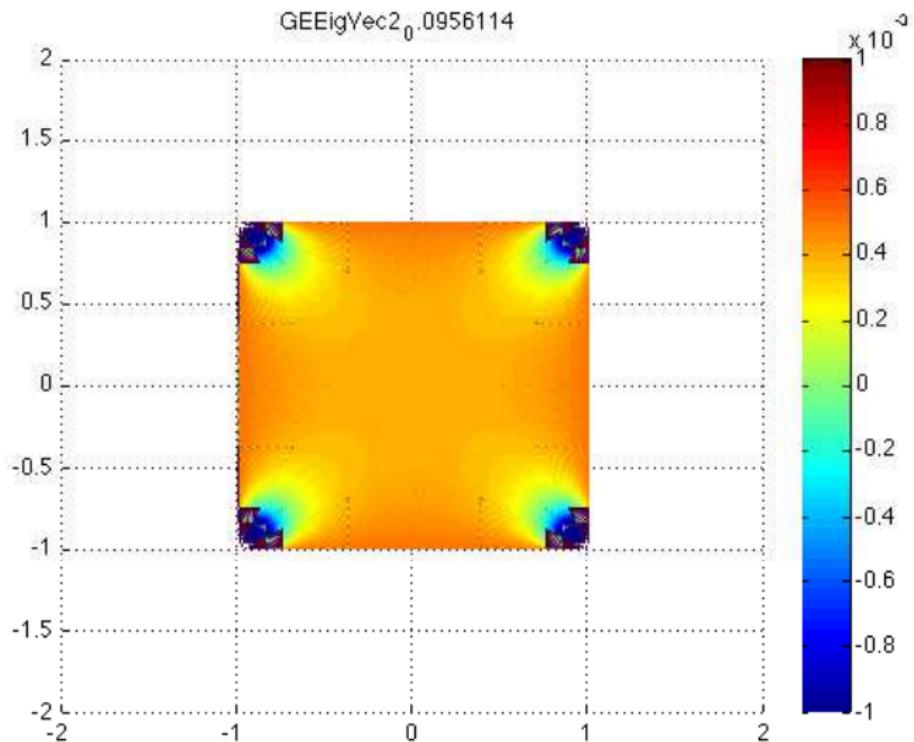
GEEigVec2_0.190655



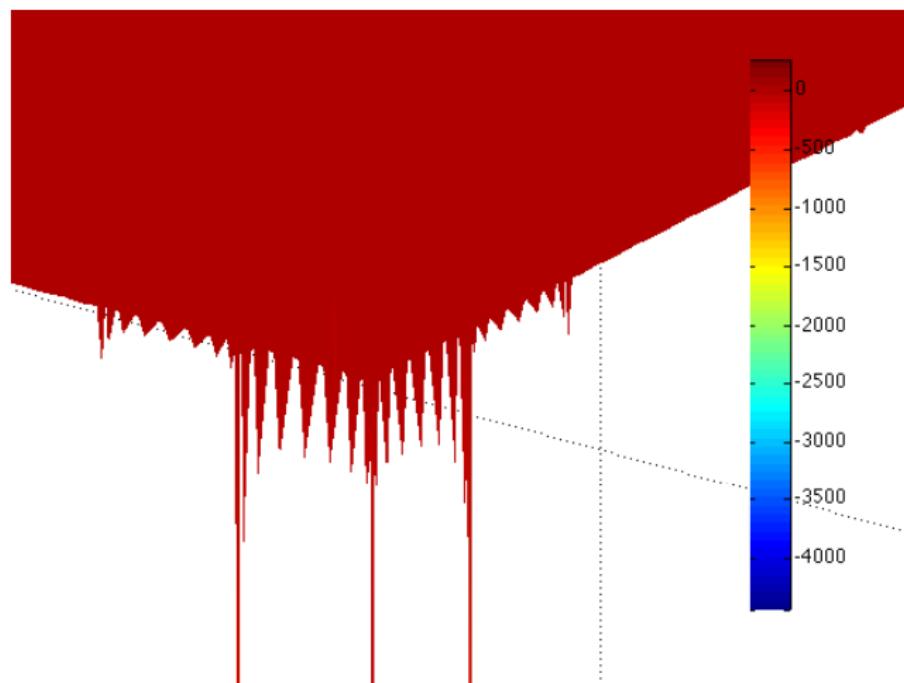
Square: First eigenfunction, (Q_{17}, Q_{16})



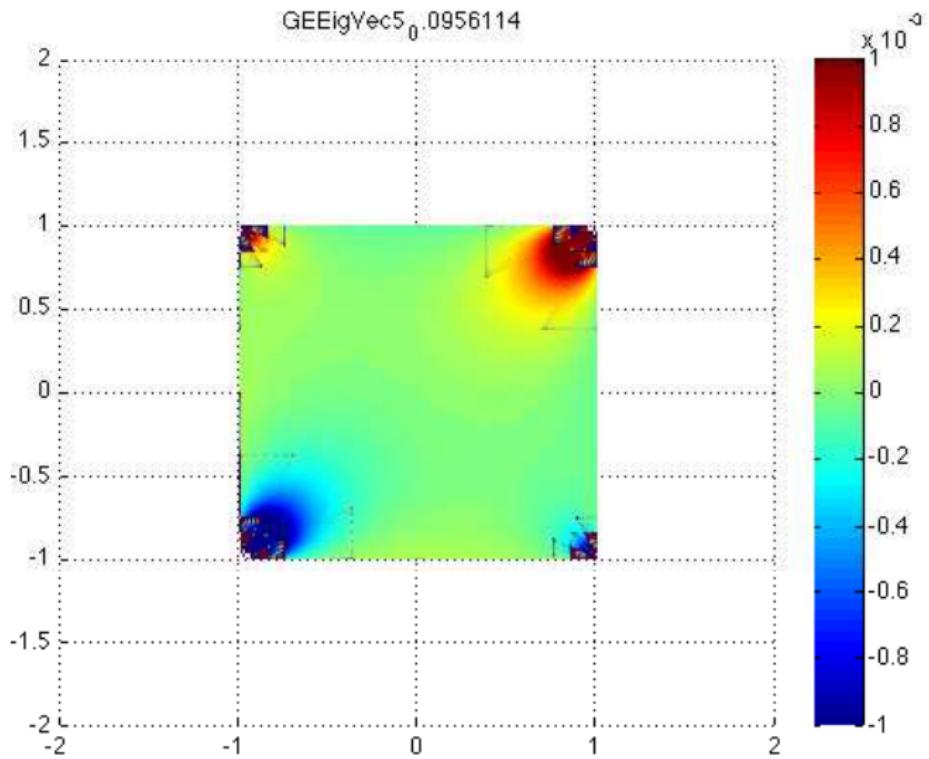
Square: First eigenfunction, (Q_{17}, Q_{16})



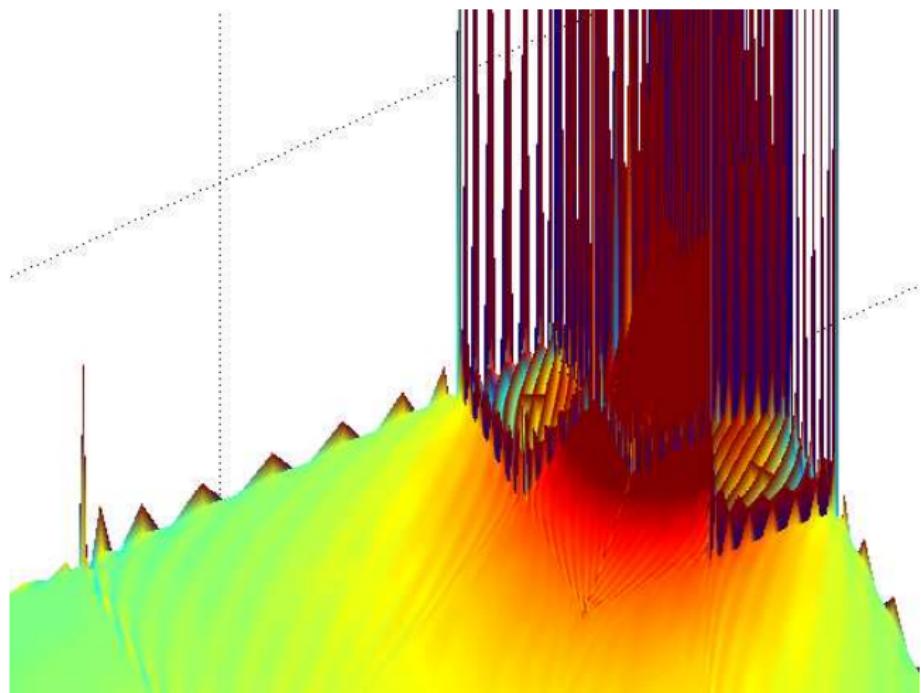
Square: First eigenfunction, (Q_{17}, Q_{16})



Square: Fourth eigenfunction, (Q_{17}, Q_{16})



Square: Fourth eigenfunction, (Q_{17}, Q_{16})



The Cosserat spectrum in 2D is always symmetric around $\frac{1}{2}$.

In the case of a polygon, $\text{Sp}_{\text{ess}}(\frac{1}{2} + K)$ is a symmetric interval around $\frac{1}{2}$.

Is there always symmetry of $\text{Sp}_{\text{ess}}(\frac{1}{2} + K)$ in 2D?

For any bounded Lipschitz domain in \mathbb{R}^2 :

$$\text{Sp}_{\text{ess}}\left(\frac{1}{2} + K\right) = \text{Sp}_{\text{ess}}\left(\frac{1}{2} - K\right)$$

The proof uses the equivalence with a scalar transmission problem.

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The proof uses the equivalence with a scalar transmission problem.

Recall

$$S = \operatorname{div} \Delta^{-1} \nabla; \quad A = \nabla \operatorname{div} \Delta^{-1}; \quad A_0 = \operatorname{div} \Delta^{-1} \nabla$$

with various realizations of Δ^{-1} . Does this explain the spectral structure?

If one replaces $H_0^1(\Omega)$ in the Cosserat or Stokes problem by

$$H_T(\Omega) = \{\mathbf{u} \in H^1(\Omega) \mid \mathbf{n} \cdot \mathbf{u} = 0 \text{ on } \partial\Omega\}$$

the spectral structure changes completely:

If the Neumann problem on Ω has H^2 -regularity (for example if Ω is convex or smooth), then

$$\operatorname{Sp}(S) = \{1\}$$

i.e. the operator $S = \operatorname{div} \Delta^{-1} \nabla$ is the identity on $L_\circ^2(\Omega)$.

Proof: For $p \in L_\circ^2(\Omega)$, one has then

$$\Delta_{H_T}^{-1} \nabla p = \nabla \Delta_{\text{Neu}}^{-1} p$$

The condition $\sigma_{H_T}(\Omega) = 1$ is also sufficient for H^2 -regularity.

- Is it true that in any dimension d

$$\sigma(\Omega) \leq \frac{1}{d} = \sigma(\text{Ball}) ?$$

Conjecture

$$\sigma(\Omega) = \frac{1}{2} - \frac{1}{\pi} = \min \text{Sp}_{\text{ess}}(S)$$

Need to show: There are no eigenvalues below the continuous spectrum.

- If Ω is a square, what is $\sigma(\Omega)$?

Conjecture

$$\sigma(\Omega) = \frac{1}{2} - \frac{1}{\pi} = \min \text{Sp}_{\text{ess}}(S)$$

Need to show: There are no eigenvalues below the continuous spectrum.

The only approximation result known is for approximation of the domain Ω by Ω_N .

[Bernardi-Co-Dauge-Girault 2015]

If $F_N : \Omega \rightarrow \Omega_N$ is bi-Lipschitz and $F_N \rightarrow \text{Id}$ in the Lipschitz norm, then $\sigma(\Omega_N) \rightarrow \sigma(\Omega)$.

In general, if $M_N \subset L^2_\circ(\Omega)$ and $X_N \subset H_0^1(\Omega)$ in the second kind Stokes eigenvalue problem, and one supposes approximation properties of these spaces, one only gets upper semicontinuity

$$\limsup \sigma_N \leq \sigma(\Omega)$$

Open problem

Find a numerical algorithm for approximating the Cosserat spectrum at least for polygons in \mathbb{R}^2

Thank you for your attention!

Theorem [Bogovskiĭ 1979], [Galdi 1994]

Let $\Omega \subset \mathbb{R}^n$ be contained in a ball of radius R , starshaped with respect to a concentric ball of radius ρ . There exists a constant γ_d only depending on the dimension d such that

$$\beta(\Omega) \geq \gamma_d \left(\frac{\rho}{R} \right)^{d+1}$$



M. Costabel, M. Dauge: On the inequalities of Rellich-Kondratenko, Friedrichs and Horgan-Payne. Arch. Rational Mech. and Anal. (2015).

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In dimension $d = 2$, we can prove

$$\beta(\Omega) \geq \frac{\rho}{2R}$$

M. COSTABEL, M. DAUGE: On the inequalities of Babuška-Aziz, Friedrichs and Horgan-Payne. Arch. Rational Mech. and Anal. (2015).

Theorem [Acosta-Durán-Muschietti 2006], [Durán 2012]

Let $\Omega \subset \mathbb{R}^d$ be a bounded John domain. Then $\beta(\Omega) > 0$.

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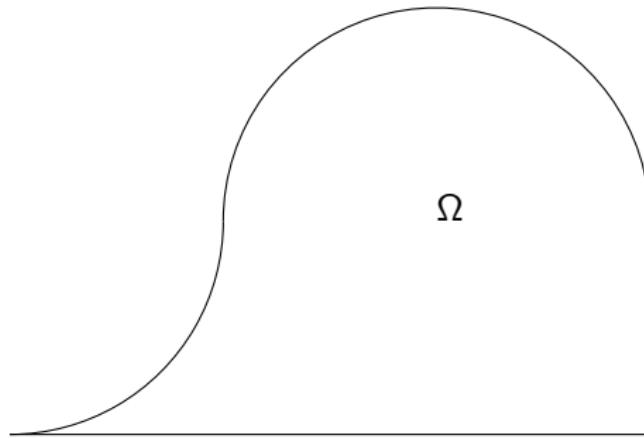


Figure: Not a John domain: Outward cusp, $\beta(\Omega) = 0$ [Friedrichs 1937]

Definition

A domain $\Omega \subset \mathbb{R}^d$ with a distinguished point \mathbf{x}_0 is called a **John domain** if it satisfies the following “**twisted cone**” condition:

There exists a constant $\delta > 0$ such that, for any \mathbf{y} in Ω , there is a rectifiable curve $\gamma: [0, \ell] \rightarrow \Omega$ parametrized by arclength such that

$$\gamma(0) = \mathbf{y}, \quad \gamma(\ell) = \mathbf{x}_0, \quad \text{and} \quad \forall t \in [0, \ell]: \text{dist}(\gamma(t), \partial\Omega) \geq \delta t.$$

Here $\text{dist}(\gamma(t), \partial\Omega)$ denotes the distance of $\gamma(t)$ to the boundary $\partial\Omega$.

Example: Every weakly Lipschitz domain is a John domain.

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Example : Every weakly Lipschitz domain is a John domain.

A John domain: Union of Lipschitz domains



San Juan de la Peña, Jaca 2013



Figure: A weakly Lipschitz domain: the self-similar zigzag

John domains: Spirals

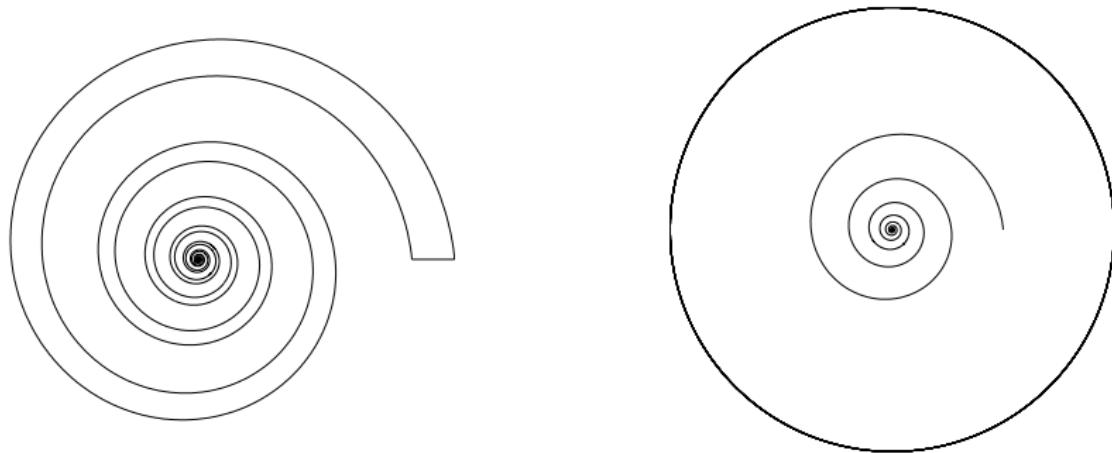


Figure: Weakly Lipschitz (left), John domain (right)

Fractal John domains: Tree or Lung

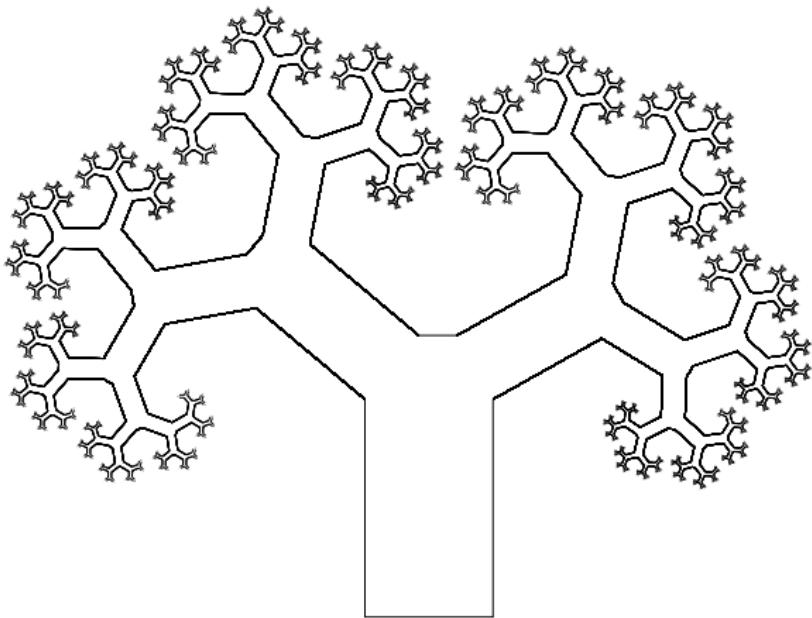


Figure: A John domain: the infinite tree

◀ back

Fractal John domains: Tree or Lung

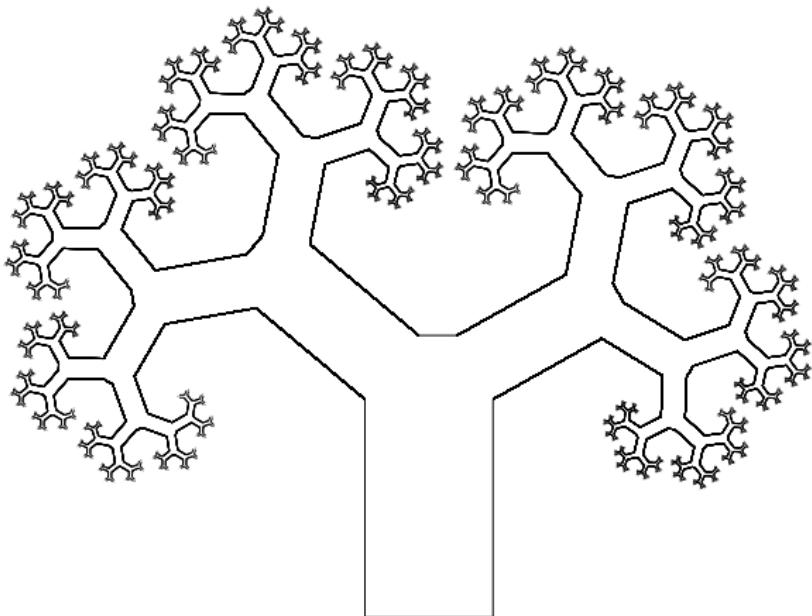


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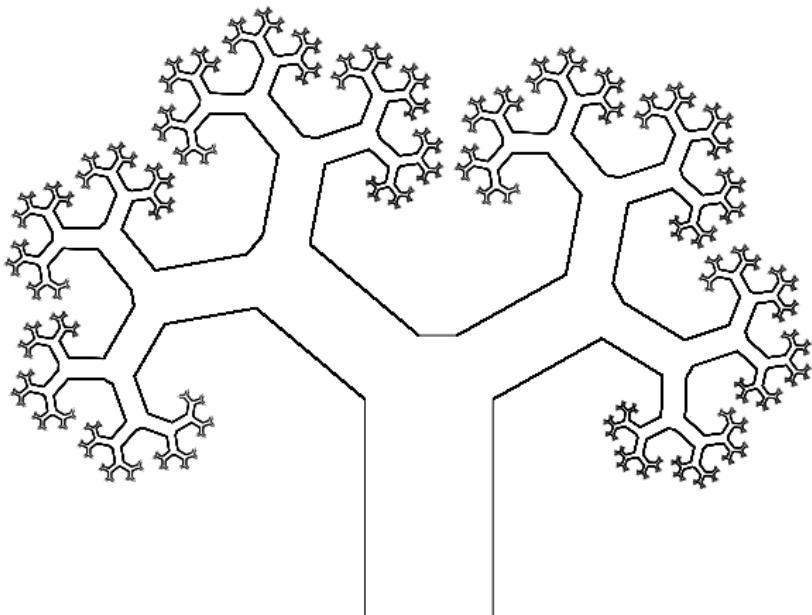


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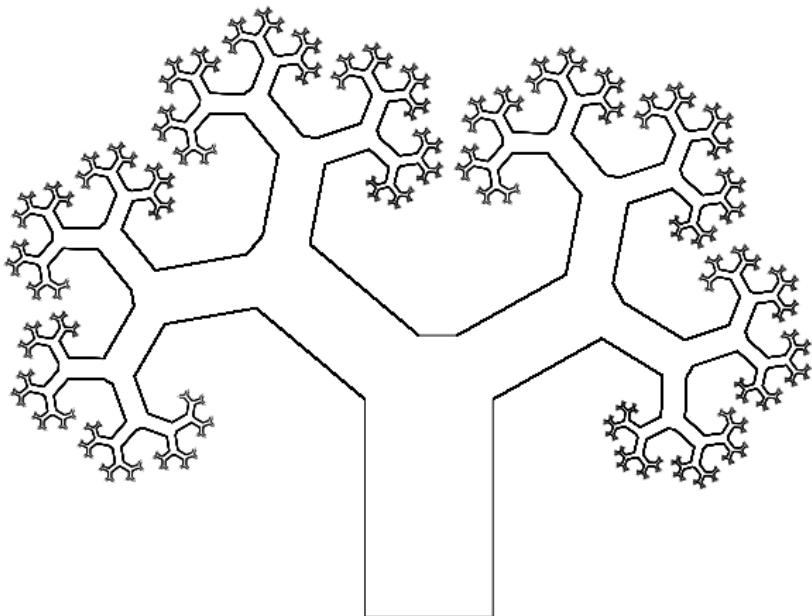


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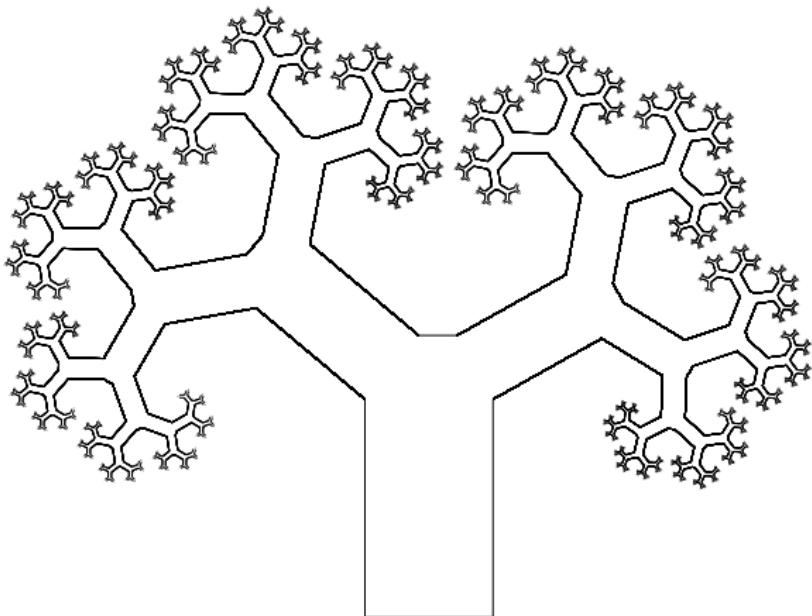


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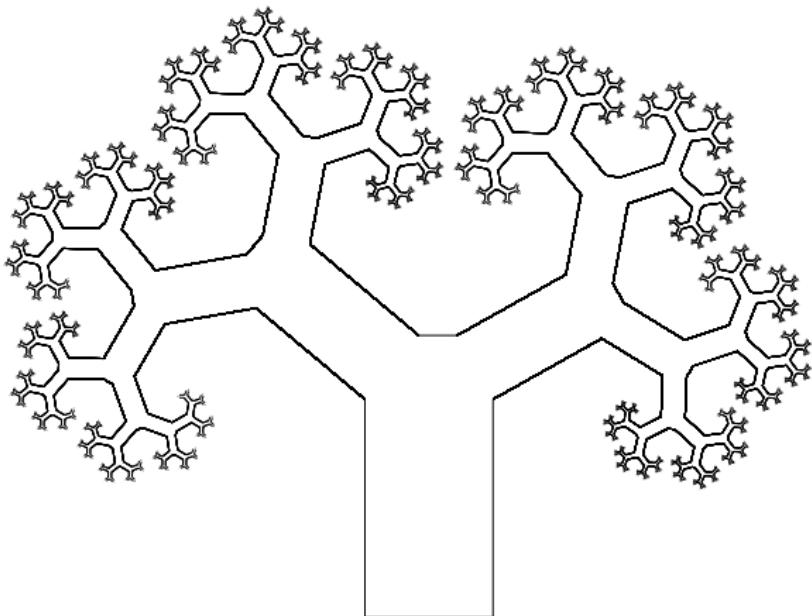


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