

# EXERCISES ON STABILITY CONDITIONS AND DONALDSON-THOMAS INVARIANTS

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## 1. STABILITY CONDITIONS

**Exercise 1.1.** Show that if  $\sigma = (Z, \mathcal{P})$  is a stability condition on a triangulated category  $\mathcal{D}$  then each subcategory  $\mathcal{P}(\phi) \subset \mathcal{D}$  is abelian.

(Hint: Observe that  $\mathcal{P}( > \phi )$  is a t-structure and deduce that  $\mathcal{P}((\phi, \phi + 1])$  is abelian for any  $\phi \in \mathbb{R}$ .)

**Solution.** [Bri07, Lemma 3.4 & 5.2] or [Huy11, Corollary 2.8].

**Exercise 1.2.** Show that a stability condition  $\sigma = (Z, \mathcal{P})$  on a triangulated category  $\mathcal{D}$  is equivalent to giving a bounded t-structure on  $\mathcal{D}$  and a stability function on its heart  $\mathcal{A}$  with the Harder-Narasimhan property.

(Hint: Use Exercise 1.1.)

**Solution.** [Bri07, Proposition 5.3].

**Exercise 1.3.** Let  $X$  be a smooth projective variety with  $\dim(X) \geq 2$ . Show that  $\text{Coh}(X)$  cannot be the heart of a numerical stability condition  $\sigma = (Z, \mathcal{A})$ .

(Hint: Consider the image of skyscraper sheaves  $\mathcal{O}_x$  of points  $x \in X$  under  $Z$ .)

**Solution.** [Tod09, Lemma 2,7] or [Huy11, Corollary 3.3]) when  $\dim(X) = 2$ .

**Exercise 1.4.** Let  $X$  be a  $d$ -dimensional smooth projective variety, and  $\mathcal{B}_{B,\omega}$  a tilting of  $\text{Coh}(X)$  with respect to  $\mu_\omega$ -stability. Show that any non-zero  $E \in \mathcal{B}_{B,\omega}$  satisfies the following:

- (1)  $\omega^{d-1} \text{ch}_1^B(E) \geq 0$ .
- (2) If  $\omega^{d-1} \text{ch}_1^B(E) = 0$ , then  $\omega^{d-2} \text{ch}_2^B(E) \geq 0$ ,  $\text{ch}_0^B(E) \leq 0$ .
- (3) If  $\omega^{d-1} \text{ch}_1^B(E) = \omega^{d-2} \text{ch}_2^B(E) = \text{ch}_0^B(E) = 0$ , then  $E \in \text{Coh}(X)$  with  $\dim \text{Supp}(E) \leq d - 3$ .

Deduce that  $Z_{B,\omega}(\mathcal{B}_{B,\omega} \setminus \{0\}) \subset \mathbb{H}$  and  $(Z_{B,\omega}, \mathcal{B}_{B,\omega}) \in \text{Stab}(X)$  when  $\dim(X) = 2$ .

(Hint: Use the Hodge-Index theorem and the Bogomolov inequality.)

**Solution.** [BMT14, Lemma 3.2.1], [Bri08, Lemma 6.2] or [AB13, Corollary 2.1] when  $\dim(X) = 2$ . See [HL10, Section 3.4] for the Bogomolov inequality.

**Exercise 1.5.** Let  $C$  be a smooth projective curve  $C$  of genus  $g(C) > 0$ . Show that all skyscraper sheaves  $\mathcal{O}_x$  of points  $x \in C$ , and all line bundles  $\mathcal{L} \in \text{Pic}(C)$  are stable with respect to a numerical stability condition  $\sigma = (Z, \mathcal{P})$  on  $\mathcal{D}(C)$ .

(Hint: Use the fact (or try to prove) that if a coherent sheaf  $E$  is included in a triangle  $Y \rightarrow E \rightarrow X \rightarrow Y[1]$  with  $\text{Hom}^{\leq 0}(Y, X) = 0$  then  $X, Y \in \text{Coh}(C)$ .)

**Solution.** [Mac07, Theorem 2.7] or [Huy11, Lemma 2.16].

**Exercise 1.6.** Show that the space of numerical stability conditions  $\text{Stab}(C)$  on  $\mathcal{D}(C)$  of a smooth projective curve  $C$  of genus  $g(C) > 0$  over  $\mathbb{C}$  consists of exactly one  $\widetilde{\text{GL}}^+(2, \mathbb{R})$ -orbit:

$$\text{Stab}(C)/\widetilde{\text{GL}}^+(2, \mathbb{R}) \simeq \{\text{pt}\}.$$

Think about what happens when  $g(C) = 0$ , i.e.  $C \simeq \mathbb{P}^1$ ?

(Hint: Observe the natural right action  $\mathbb{C} \times \text{GL}^+(2, \mathbb{R}) \rightarrow \mathbb{C}$ ;  $(z, M) \mapsto M^{-1} \cdot z$  via the identification  $\mathbb{C} \simeq \mathbb{R}^2$ . This gives rise to a natural action

$$K(\mathcal{D})^* \times \text{GL}^+(2, \mathbb{R}) \rightarrow K(\mathcal{D})^*; (z, M) \mapsto M^{-1} \cdot z,$$

where  $K(\mathcal{D})^* := \text{Hom}_{\mathbb{Z}}(K(\mathcal{D}), \mathbb{C})$ , which can be lifted to an action on  $\text{Stab}(\mathcal{D})$  under the projection map  $\pi : \text{Stab}(\mathcal{D}) \rightarrow K(\mathcal{D})^*$ ;  $(Z, \mathcal{A}) \rightarrow Z$  (after taking the universal cover and the connected component with positive determinant).)

**Solution.** [Bri07, Section 9], [Mac07, Theorem 2.7] or [Huy11, Theorem 2.15].

**Exercise 1.7.** Let  $X$  be a smooth projective K3 surface. The minimal (and hence stable) objects in  $\mathcal{B}_{B, \omega}$  are precisely the skyscraper sheaves  $\mathcal{O}_x$  for all points  $x \in X$  and shifts  $F[1]$  of  $\mu_{\omega}$ -stable vector bundles  $F$  with  $\mu_{\omega}(F) = B \cdot \omega$ .

**Solution.** [Huy08, Proposition 2.2].

**Exercise 1.8.** Let  $X$  be a smooth projective surface and  $\sigma = (Z, \mathcal{A})$  be a numerical stability condition on  $\mathcal{D}(X)$  such that all skyscraper sheaves  $\mathcal{O}_x$ ,  $x \in X$ , are  $\sigma$ -stable of phase 1. Show that the heart  $\mathcal{A}$  of  $\sigma$  is of the form  $\mathcal{B}_{B, \omega}$  for some  $B, \omega \in \text{NS}(X) \otimes \mathbb{R}$  with  $\omega \in \text{Amp}(X)$ .

**Solution.** [Bri07, Proposition 10.3] or [Huy11, Theorem 3.2].

**Exercise 1.9.** Let  $X$  be a smooth projective threefold. For any  $x \in X$ , show that the skyscraper sheaf  $\mathcal{O}_x$  is a minimal object in  $\mathcal{A}_{B, \omega}$ .

**Solution.** [MP13, Proposition 2.1].

**Exercise 1.10.** Let  $\mathcal{M}_{B, \omega}$  be the class of all objects  $E \in \mathcal{B}_{B, \omega}$  such that

- (1)  $E$  is  $\nu_{B, \omega}$ -stable,

(2)  $\nu_{B,\omega}(E) = 0$ , and

(3)  $\text{Ext}_{\mathcal{M}}^1(\mathcal{O}_x, E) = 0$  for any skyscraper sheaf  $\mathcal{O}_x$  of  $x \in X$ .

If  $E \in \mathcal{M}_{B,\omega}$  then show that  $E[1]$  is a minimal object of  $\mathcal{A}_{B,\omega}$ .

**Solution.** [MP13, Lemma 2.3].

**Exercise 1.11.** Let  $0 \rightarrow E \rightarrow E' \rightarrow Q \rightarrow 0$  be a non splitting short exact sequence in  $\mathcal{B}_{B,\omega}$  with  $Q \in \text{Coh}^0(X)$ ,  $\text{Hom}_{\mathcal{M}}(\mathcal{O}_x, E') = 0$  for any  $x \in X$ , and  $\omega^2 \text{ch}_1^B(E) \neq 0$ . If  $E$  is  $\nu_{B,\omega}$ -stable then show that  $E'$  is  $\nu_{B,\omega}$ -stable.

**Solution.** [LM12, Proposition 3.5].

**Exercise 1.12.** Let  $E \in \mathcal{B}_{B,\omega}$  be a  $\nu_{B,\omega}$ -semistable object with  $\nu_{B,\omega}(E) < +\infty$ . Then show that  $H_{\text{Coh}(X)}^{-1}(E)$  is a reflexive sheaf.

**Solution.** [LM12, Proposition 3.1].

**Exercise 1.13.** Let  $E \in \mathcal{B}_{B,\omega}$  be a  $\nu_{B,\omega}$ -stable object with  $\nu_{B,\omega}(E) = 0$ . Then show that there exists  $E' \in \mathcal{M}_{B,\omega}$  (that is  $E'[1]$  is a minimal object in  $\mathcal{A}_{B,\omega}$ ) such that

$$0 \rightarrow E \rightarrow E' \rightarrow Q \rightarrow 0$$

is a short exact sequence in  $\mathcal{B}_{B,\omega}$  for some  $Q \in \text{Coh}^0(X)$ . Then deduce the requirement of (weak or strong) Bogomolov-Gieseker type inequality only for objects in  $\mathcal{M}_{B,\omega}$ .

(Hint: Use the fact that  $\mathcal{A}_{B,\omega}$  is Noetherian.)

**Solution.** [MP13, Proposition 2.9].

**Exercise 1.14.** Let  $X$  be a smooth projective variety of dimension  $n$ . Let  $\sigma = (Z, \mathcal{P})$  be a locally finite numerical stability condition on  $\mathcal{D}(X)$  such that all skyscraper sheaves  $\mathcal{O}_x$  of  $x \in X$  are  $\sigma$ -stable with phase one. Then show the following:

- (1) if  $E \in \mathcal{P}((0, 1])$  then  $H_{\text{Coh}(X)}^i(E) = 0$  for  $i \notin \{-n+1, -n+2, \dots, 0\}$ ,
- (2) if  $E \in \mathcal{P}(1)$  is  $\sigma$ -stable then  $E \cong \mathcal{O}_x$  for some  $x \in X$ , or  $E$  is a complex such that  $H_{\text{Coh}(X)}^i(E) = 0$  for  $i \notin \{-n+1, -n+2, \dots, -1\}$ , and
- (3) if  $E \in \text{Coh}(X)$  then  $E \in \mathcal{P}((-n+1, 1])$ .

**Solution.** [Bri08, Lemma 10.1] for the  $n = 2$  case.

**Exercise 1.15.** If  $\sigma = (Z, \mathcal{P})$  is a locally finite numerical stability condition on  $D^b(X)$ , then observe that  $\sigma$ -stable objects in  $\mathcal{P}(1)$  are minimal objects in the heart  $\mathcal{P}((0, 1])$ . As a result of the above exercise, obtain that if  $E \in \mathcal{P}(1)$  is stable then we have the following:

- (1) when  $\dim X = 1$ ,  $E \cong \mathcal{O}_x$  for some  $x \in X$ ;

- (2) when  $\dim X = 2$ ,  $E \cong \mathcal{O}_x$  for some  $x \in X$  or  $E \cong F_{-1}[1]$  for some locally free sheaf  $F_{-1}$ ; and
- (3) when  $\dim X = 3$ ,  $E \cong \mathcal{O}_x$  for some  $x \in X$  or  $E$  is quasi isomorphic to a complex  $0 \rightarrow F_{-2} \rightarrow F_{-1} \rightarrow 0$  of locally free sheaves, and so  $H_{\text{Coh}(X)}^{-2}(E)$  is a reflexive sheaf.

**Solution.** Compare this with Exercise 1.12.

## 2. DONALDSON-THOMAS INVARIANTS

**Exercise 2.1.** Let  $X$  be a smooth projective variety over  $\mathbb{C}$  and  $H$  an ample divisor on  $X$ . Recall that the Hilbert polynomial of a coherent sheaf  $E$  of dimension  $d$  is given by

$$\chi(E, m) := \chi(E \otimes \mathcal{O}(mH)) := \sum_{i=0}^d (-1)^i h^i(X, E \otimes \mathcal{O}(mH)) \quad \text{for any } m \in \mathbb{Z}.$$

Use Riemann-Roch to show that this can be written as

$$\chi(E, m) = \alpha_d m^d + \alpha_{d-1} m^{d-1} + \dots \quad \text{for } \alpha_i \in \mathbb{Q}.$$

(Hint: Use the fact that a line bundle  $L$  has Chern character  $\text{ch}(L) = \exp(c_1(L))$ .)

**Solution.** Direct computation or see [HL10, Lemma 1.2.1] for more details.

**Exercise 2.2.** Recall the theorem of Joyce-Song for a smooth projective Calabi-Yau threefolds: it says that for all  $p \in \mathcal{M}_H^s(v)$ , there exists an analytic open set  $\mathcal{U} \subset \mathcal{M}_H^s(v)$  containing  $p$  such that  $\mathcal{U} = \{df = 0\}$  for some holomorphic function  $f : V \rightarrow \mathbb{C}$  on a complex manifold  $V$ . Then Behrend's constructible function is defined as

$$\nu : \mathcal{M}_H^s(v) \rightarrow \mathbb{Z}; p \mapsto (-1)^{\dim V} (1 - \chi(M_p(f)))$$

where  $M_p(f) := \{x \in V : \|x - p\| < \delta, f(x) = f(p) + \epsilon, 0 < \epsilon \ll \delta \ll 1\}$  is the Milnor fibre of  $f$  at  $p$ . Show that if  $\mathcal{M}_S^s(v) := \text{Spec}(\mathbb{C}[t]/t^m)$  then  $\nu \equiv m$ .

(Hint: Find a complex manifold  $V$  with a holomorphic function  $f$  on it such that  $\text{Spec}(\mathbb{C}[t]/t^m) = \{df = 0\}$  and compute the Euler characteristic of the fibres.)

**Solution.** Set  $V := \text{Spec}(\mathbb{C}[t])$  and  $f := t^{m+1}$ . Then  $\dim V = 1$  and there are  $m+1$  points in the fibre which implies  $\chi(M_p(f)) = m+1$ . In other words, we have  $\nu := (-1)^{\dim V} (1 - \chi(M_p(f))) = (-1)^1 (1 - (m+1)) = m$ .

**Exercise 2.3.** Recall that the ‘‘honest’’ curve count is defined as

$$I'_\beta(X) := \frac{I_\beta(X)}{M(-q)^{\chi(X)}}$$

where  $I_\beta(X) := \sum_n I_{n,\beta} q^n$ ,  $I_{n,\beta} := \text{DT}_H(1, 0, -\beta, -n)$  and

$$M(q) := \prod_{m \geq 1} (1 - q^m)^{-m}$$

is the MacMahon function. If  $\mathbb{P}^1 \simeq C \hookrightarrow X$  is a contractible  $(-1, -1)$ -curve in a Calabi-Yau threefold then

$$\sum_{m \geq 0} I_{m[C]}(X) t^m = M(-q)^{\chi(X)} \cdot \prod_{k \geq 1} (1 - (-q)^k t)^k. \quad (1)$$

Use this to show that

$$I'_{[C]}(X) = q - 2q^2 + 3q^3 - \dots = \frac{q}{(1+q)^2} = \frac{1/q}{(1+(1/q))^2}.$$

**Solution.** Set  $m = 1$  and compute the coefficient of  $t$ . Indeed, Equation (1) says that

$$I'_0(X) + I'_{[C]}(X)t + I'_{[2C]}(X)t^2 + \dots = (1+qt)(1-q^2t)^2(1+q^3t)^3 \dots$$

and so  $I'_{[C]}(X)$  is equal to the coefficient of  $t$  on the right hand side.

**Exercise 2.4.** Recall from the lectures that we have

$$\begin{aligned} \sum_{\beta} I_{\beta}(X) t^{\beta} &= \prod_{n \geq 0} \exp((-1)^{n-1} n N_{n,0} q^n) \cdot \left( \sum_{\beta} P_{\beta}(X) t^{\beta} \right) \\ &= \prod_{\substack{n \geq 0 \\ \beta \geq 0}} \exp((-1)^{n-1} n N_{n,\beta} q^n t^{\beta}) \cdot \left( \sum_{\beta} L_{\beta}(X) t^{\beta} \right) \end{aligned}$$

where  $L_{\beta}(X) := \sum_{n \in \mathbb{Z}} L_{n,\beta} q^n$  is a polynomial of  $q^{\pm}$  invariant under  $q \leftrightarrow 1/q$  and  $N_{n,\beta} \in \mathbb{Q}$ ,  $L_{n,\beta} \in \mathbb{Z}$  are such that  $N_{n,\beta} = N_{-n,\beta} = N_{n+H \cdot \beta, \beta}$ ,  $L_{n,\beta} = L_{-n,\beta}$  and  $L_{n,\beta} = 0$  for  $|n| \gg 0$ . Use this result to show that

$$I'_{\beta}(X) = \frac{I_{\beta}(X)}{M(-q)^{\chi(X)}} = P_{\beta}(X).$$

Furthermore, use the periodicity of  $N_{n,\beta}$  to show that  $I'_{\beta}(X)$  is a rational function of  $q$ , invariant under  $q \leftrightarrow 1/q$ .

**Solution.** First observe that  $\prod_{n \geq 0} \exp((-1)^{n-1} n N_{n,0} q^n)$  is independent of  $\beta$  and so if we set  $\beta = 0$  then the first equality gives

$$I_0(X) = \prod_{n \geq 0} \exp((-1)^{n-1} n N_{n,0} q^n) \cdot P_0(X).$$

Next, we see that  $P_0(X) = 1$  by definition of stable pairs and  $I_0(X) = M(-q)^{\chi(X)}$  by Lecture 1. Thus, we have  $M(-q)^{\chi(X)} = \prod_{n \geq 0} \exp((-1)^{n-1} n N_{n,0} q^n)$  and hence

$$I'_\beta(X) := \frac{I_\beta(X)}{M(-q)^{\chi(X)}} = \frac{\prod_{n \geq 0} \exp((-1)^{n-1} n N_{n,0} q^n) \cdot P_\beta(X)}{M(-q)^{\chi(X)}} = P_\beta(X).$$

The rationality of  $I'_\beta(X)$  is proved in [Tod10, Lemma 4.6].

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