THE SHAFAREVICH CONJECTURE ABOUT ABELIAN VARIETIES $/\mathbb{Q}$ WITH EVERYWHERE GOOD REDUCTION AND RELATED TOPICS

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Introduction

We discuss the following results.

- 1. There are no abelian varieties over \mathbb{Q} with everywhere good reduction.
- 2. If X is a projective smooth algebraic variety with everywhere good reduction then for its Hodge numbers we have $h^1 = 0$, $h^2 = h^{1,1}$, $h^3 = 0$ (and $h^4 = h^{2,2}$ modulo General Riemann Hypothesis).
- 3. If X/\mathbb{Q} is projective smooth with bad semi-stable reduction modulo 3 and good reduction modulo all primes $l \neq 3$ then $h^2 = h^{1,1}$.

We give more detailed exposition of some parts of the author overview "A semi-stable case of the Shafarevich Conjecture" (to appear in "Automorphic forms and galois representations", London Mathematical Society lecture note series, v. 414, pp.1-31, cf. also the online version on the site "www.maths.dur.ac.uk/ dma0va/"). All references will be given below according to the list of references from that paper.

The first result appears as an application of the theory of finite flat group schemes over \mathbb{Z} . The main reference is Fontaine's paper [21], but we follow alternative author's approach from [3,4,5] paying attention also to earlier results from [1,2].

The second result appears as an application of Fontaine's theory of crystalline representations, the Fontaine-Messing result on the comparison of etale and De Rham cohomology in dimensions < p (which was proved later in a full generality by Faltings), and the Fontaine-Laffaille theory. The main reference is Fontaine's paper [23] and slightly alternative author's approach (together with the modification of the Fontaine-Laffaille theory to the case of Hodge-Tate weights from [0, p-1]) from [6,7].

The third result appears as an application of the theory of semistable representations and in particular of the analogue of the Fontaine-Laffaille theory of semistable torsion representations developed by Breuil, cf. [14-16]. Strictly speaking we need a modification of Breuil's theory to the case of the HT weights from [0, p-1] developed in the paper [11], which contains also a complete proof of this (third) result.

Note that in the first two cases we have also similar results for varieties defined over some algebraic number fields with small discriminants and the appropriate methods have been considerably developed in 2000's mainly in two directions:

- abelian varieties with everywhere good reduction, cf. Schoof's paper in Math Annalen (2003);
- Abelian varieties with bad semi-stable reduction at one small prime p and good reduction at all primes $l \neq p$, cf. e.g. the results of Brumer-Cramer [13], Schoof [27], Verhoek (Journal Number Theory, 2013).
 - 1. Abelian varieties over $\mathbb Q$ with everywhere good reduction

1.1. ICM 1962, Stockholm.

I.R.Shafarevich's talk "Algebraic Number Fields":

- $--[K:\mathbb{Q}]<\infty \ \leftrightarrow \text{Riemannian surfaces over }\mathbb{C}$
- $S \subset \text{Div}K$ finite, the Galois groups $\Gamma_{K,S}$, $\Gamma_{K,S}(p)$

 \leftrightarrow ramification of maps of Riemannian surfaces

(the problem of existence of infinite Hilbert's towers if $S = \emptyset$!)

- Galois groups of p-ext local fields \leftrightarrow Burnside problem & fundamental groups (Demushkin)
- $\operatorname{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p)=?$ (later done by Koch, Jakovlev, Jannssen-Wingberg)

Analogs to Algebraic Number Theory:

- K'/K alg.n.f., CRITICAL (\equiv unramified) $S \subset \text{Div}K$ requires integral models $O_{K'}/O_K$
 - $\{K' \mid [K':K] = const, S(K'/K) = const\}$ is finite (**Hermite**);
 - $--\{K'\mid S(K'/\mathbb{Q})=\emptyset\}=\{\mathbb{Q}\}\ (\mathbf{Minkowski}).$
 - X/K proper non-singular algebraic variety; integral model $\mathcal{X}/O_K \to \text{divisors of bad reduction } S(X) \subset \text{Div}K$, $|S(X)| < \infty \to \text{simplest case } \dim_K X = 1, \ g = g(X) \text{genus}$: Conjectures:

-
$$g > 1$$
 then $\{X/K \mid S(X) = S_0, g(X) = g\}$ is Finite

- if $g = 1 \& X(K) \neq \emptyset$ then {SIMILAR SET} is FINITE
- if $g \geqslant 1 \& \{K = \mathbb{Q}\} \& \{S_0 = \emptyset\}$ then NO SUCH CURVES

All these conjectures have geometrical flavour because of the non-uniqueness of integral models.

Example:

• $g = 1, S(X) = \{2\}$ there are 24 CURVES:

$$-y^2 = x(x^2 - a)$$
 with $a = \pm 1, \pm 2, \pm 4, \pm 8$;

$$-y^2 = x(x - a\alpha)(x - a\alpha')$$
 with

$$a = \pm 1, \pm 2, \quad \alpha = 1 + i, 1 + \sqrt{2}, (1 + \sqrt{2})^2, 2 + \sqrt{2}$$

MAIN STEPS:

— $X: y^2 = P(x)$ with cubic $P(X) \in \mathbb{Z}[X]$ — MINIMAL MODEL outside (2)

(by Riemann-Roch theorem $\mathbb{Q} \cdot 1 + \mathbb{Q}x + \mathbb{Q}y$ is well-defined in $\mathbb{Q}(X)$)

- GOOD REDUCTION away from $(2) \equiv \{Disc(P) = POWER \text{ of } 2\}$
- -P(X) is REDUCIBLE (use class field theory!)
- $P(X) = X(X \alpha)(X \beta)$ where $\alpha, \beta, \alpha \beta$ are coprime to (2) alg. integers
 - g = 1, $S = \emptyset \equiv$ all above 24 curves have bad reduction at 2 Literally, Shafarevich stated:
- Is there a field of algebraic functions in one variable over \mathbb{Q} without critical prime numbers different from $\mathbb{Q}(t)$?

In modern language:

• There are no projective algebraic curves over \mathbb{Q} with genus ≥ 1 and everywhere good reduction.

Later his PhD student (Volynsky) studied curves of GENUS 2 (\equiv hyperelliptic!); enormous calculations (not published)

Later switching: $\{curves\} \mapsto \{AV's\}$

• There are no abelian varieties over \mathbb{Q} of dimension $g \geq 1$ with everywhere good reduction.

This is more general:

- $-X \mapsto \operatorname{Jac}X$ has polarization of degree 1;
- Jac $X \mod l$ can be non-singular for singular $X \mod l$;

It is easier to approach: AV has ADDITIONAL STRUCTURE

Finally, it can be stated as

• There are no non-trivial abelian schemes over \mathbb{Z} .

Affine group schemes over \mathbb{Z} do exist — e.g. \mathbb{G}_m , \mathbb{G}_a ;

Highly delicate geometrical property:

if \mathcal{A} is abelian scheme $/\mathbb{Z}$ then $\operatorname{Nid}_{\mathcal{A}}: \mathcal{A} \longrightarrow \mathcal{A}$ is faithfully flat.

If such \mathcal{A} exists then $\operatorname{Ker}(N\operatorname{id}_{\mathcal{A}})$ is a finite flat group scheme over \mathbb{Z} of order N^{2g} .

Problem (Geometry has gone!):

— What are FINITE, FLAT GROUP SCHEMES (ffgs) over \mathbb{Z} ?

1.2. ffgs over a ring R (quick reminder).

General definition over arbitrary (commutative with 1 etc) ring R;

$$Alg_R$$
 — FLAT R -algebras A , $rk_R A < \infty$;

 Gr_R — the category of G = Spec A with COALGEBRA structure

- COADDITION $\Delta: A \longrightarrow A \otimes A$
- COUNIT $e: A \longrightarrow R$;
- Coinversion $i:A\longrightarrow A$

$$|G| = \operatorname{rk}_R A$$
 — the ORDER of G .

Axioms are dual w.r.t. $A \mapsto \operatorname{Hom}_{R-mod}(A, R) \longrightarrow$

— the Cartier duality
$$G \mapsto G^D$$

 Gr_R — additive category with Kernels, Cokernels;

$$0 \longrightarrow G_1 \stackrel{i}{\longrightarrow} G \stackrel{j}{\longrightarrow} G_2 \longrightarrow 0$$
 is short exact means:

- i closed embedding; j faithfully flat;
- Ker $j = (G_1, i)$ & Coker $i = (G_2, j)$
- $D(A(G)) = D(A(G_1))^{|G_2|} D(A(G_2))^{|G_1|}$.
- Gr_R is not abelian (but abelian if R is a field).

1.3. Finite flat group schemes $/\mathbb{Z}$.:

Relation to $\mathrm{Gr}_{\mathbb{O}}$ and $\mathrm{Gr}_{\bar{\mathbb{O}}}$

$$-G = \operatorname{Spec} A \in \operatorname{Gr}_{\mathbb{Z}}$$
 then

$$A_{\mathbb{Q}} = \operatorname{Map}^{\Gamma_{\mathbb{Q}}}(G(\bar{\mathbb{Q}}), \bar{\mathbb{Q}}) = \mathbb{Q} \oplus (\bigoplus_{\alpha} K_{\alpha}), \text{ all } [K_{\alpha} : \mathbb{Q}] < \infty.$$

$$-H' \subset G \otimes \mathbb{Q}$$
 then $\exists ! H \subset G$ s.t. $H \otimes Q = H'$

—
$$G \in \operatorname{Gr}_{\mathbb{Z}}$$
, $|G|$ is a power of p then

all K_{α}/\mathbb{Q} are UNRAMIFIED away from p

Constant etale ϵ_n

$$-A(\epsilon_n) = \operatorname{Map}(\mathbb{Z}/n, \mathbb{Z})$$
 with

$$e: A(\epsilon_n) \longrightarrow \mathbb{Z}$$
 such that $a \mapsto a(0)$;

$$\Delta: A(\epsilon_n) \longrightarrow A(\epsilon_n) \otimes A(\epsilon_n)$$
 such that $\Delta(a)(h_1, h_2) = a(h_1 + h_2)$.

— Say,
$$A(\epsilon_2) = \mathbb{Z} \oplus \mathbb{Z}$$
; $A(\epsilon_{2,R}) = R \oplus R$.

Remark. One can define constant etale groups H_R for any abstract (abelian) group H over any ring R. The Minkowski Theorem implies that for $R = \mathbb{Z}$, any extension of constant etale via constant etale is again constant etale.

Constant multiplicative:

$$-A(\mu_n) = \mathbb{Z}[\mathbb{Z}/n] = \mathbb{Z}[X]/(X^n - 1)$$
 with

$$e: A(\mu_n) \longrightarrow \mathbb{Z}$$
 such that $X \mapsto 1$,

$$\Delta: A(\mu_n) \longrightarrow A(\mu_n) \otimes A(\mu_n)$$
 such that $X \mapsto X \otimes X$.

$$-A(\mu_2) = \{(a,b) \in \mathbb{Z} \oplus \mathbb{Z} \mid a+b \equiv 0 \operatorname{mod} 2\}:$$

$$1 \mapsto (1,1), X \mapsto (1,-1)$$
 gives

$$\mathfrak{f}_0: \varepsilon_2 \longrightarrow \mu_2 \text{ such that } \mathfrak{f}_0^*: A(\mu_2) \subset \mathbb{Z} \oplus \mathbb{Z};$$

(!!)
$$\operatorname{Ker} \mathfrak{f}_0 = \operatorname{Coker} \mathfrak{f}_0 = 0.$$

For any prime p, ε_p and μ_p — the only ffgs $/\mathbb{Z}$ of order p. (Tate-Oort)

—
$$\mu_p^D = \epsilon_p$$
 and $\epsilon_p = \mu_p^D$ (Cartier duality)

Group schemes G of order 4 over \mathbb{Z} , $2id_G = 0$

—
$$\operatorname{Ext}_{2\mathrm{id}=0}(\epsilon_2,\epsilon_2)=0$$
 — use Minkowski Thm;

—
$$\operatorname{Ext}_{2id=0}(\mu_2, \mu_2) = 0$$
 (use Cartier duality);

—
$$\operatorname{Ext}_{2\mathrm{id}=0}(\mu_2, \varepsilon_2) = 0$$
 — use $D(A(G)) = 2^4 \& G \otimes \mathbb{F}_2$ splits (max reduced subscheme) & $A(G) \subset \mathbb{Q} \oplus \mathbb{Q} \oplus \mathbb{Q} \oplus \mathbb{Q}$ (by Minkowski Thm);

$$--\operatorname{Ext}_{2\mathrm{id}=0}(\varepsilon_2,\mu_2)=\mathbb{Z}/2$$

$$A(G_0) = A(\mu_2) \oplus B$$
 with $D(B/\mathbb{Z}) = 2^2$, i.e. $B = \mathbb{Z}[i]$; (exercise)

• $G \in Gr_{\mathbb{Z}}, |G| = 4$, $2id_G = 0$ then G is either product of μ_2 or ϵ_2 , or coincides with G_0 :

Indeed, two cases:

$$--A(G) = \mathbb{Q} \oplus \mathbb{Q} \oplus \cdots$$
 then one of the above;

—
$$A(G) = \mathbb{Q} \oplus K$$
, $[K : \mathbb{Q}] = 3$, ramified only at $2 \Rightarrow$ no such K (class field theory)

Group schemes of order p^2 , $pid_G = 0$, p > 2

$$- \operatorname{Ext}_{pid=0}(\epsilon_p, \epsilon_p) = \operatorname{Ext}_{pid=0}(\mu_p, \mu_p) = 0;$$

—
$$\operatorname{Ext}_{pid=0}(\mu_p, \epsilon_p) = 0$$
 (property of Bernoulli numbers)

— $\operatorname{Ext}_{pid=0}(\epsilon_p, \mu_p) = 0$ — special case that over any R, this group is R^*/R^{*p} :

$$A(G) = \bigoplus_{i \in \mathbb{Z}/p} R[T_i]$$
, where $T_i^p = r \in \mathbb{R}^*$ and μ_p acts via $T_i \mapsto x \otimes T_i$

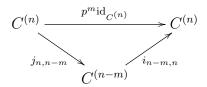
 $(\equiv a \text{ description of principal homogeneous spaces of } \mu_p \text{ over ring } R)$

Remark. Any $G \in \operatorname{Gr}_{\mathbb{Z}}$, $|G| = p^2$, $p \operatorname{id}_G = 0$ is the product of μ_p or ϵ_p (corollary of Serre's conjecture proved by Khare and Wintenberger)

1.4. p-divisible groups /R.

p-DIVISIBLE GROUP in Gr_R is an inductive system $\mathcal{C} = (C^{(n)}, i_n)_{n \geq 0}$ of objects of Gr_R such that for all $0 \leq m \leq n$,

$$0 \longrightarrow C^{(m)} \xrightarrow{i_{mn}} C^{(n)} \xrightarrow{j_{n,n-m}} C^{(n-m)} \longrightarrow 0$$



Remark. There is $h = h(\mathcal{C}) \in \mathbb{Z}$ (the HEIGHT of \mathcal{C}) s.t. for any n, $C^{(n)} = p^{nh}$.

Examples $/\mathbb{Z}$:

- CONSTANT ETALE $(\mathbb{Q}_p/\mathbb{Z}_p)_{\mathbb{Z}}=\{\epsilon_{p^n}\}_{n\geqslant 0}$
- Constant multiplicative $(\mathbb{Q}_p/\mathbb{Z}_p)(1)_{\mathbb{Z}}=\{\mu_{p^n}\}_{n\geqslant 0}$
- Trivial p-div group \mathbb{Z} the product of copies of constant etale and multiplicative

Are there non-trivial p-divisible groups $/\mathbb{Z}$? (J.Tate)

— \mathcal{A} is abelian scheme over \mathbb{Z} of dimension $g \geqslant 1$

p a prime,

p-divisible group $\mathcal{A}(p)$ associated with \mathcal{A} .

$$\mathcal{A}(p) = \underline{\lim}_{n} (\mathrm{Ker}(p^n \mathrm{id}_{\mathcal{A}}) \text{ over } \mathbb{Z}.$$

No non-trivial p-div groups $/\mathbb{Z} \stackrel{!}{\Longrightarrow}$ Shafarevich Conjecture.

Otherwise, $(\mathcal{A} \otimes \mathbb{F}_p)(\mathbb{F}_p)$ is infinite

1.5. 2-divisible groups of height ≤ 2 .

$$\mathcal{G} = \{G^{(n)}\}_{n\geqslant 0}$$
 — 2-div. group $/\mathbb{Z}$

— if height of \mathcal{G} is 1 then \mathcal{G} is trivial;

$$G^{(1)} = \epsilon_2$$
 (use Minkowski),

$$G^{(1)} = \mu_2$$
 (use Cartier duality)

— if
$$G^{(1)}$$
 is $\epsilon_2 \times \epsilon_2$ or $\mu_2 \times \mu_2$ then G is trivial (similarly);

$$-G^{(1)} \in \operatorname{Ext}(\epsilon_2, \mu_2), \quad 0 \longrightarrow \mu_2 \xrightarrow{i_1} G^{(1)} \xrightarrow{j_1} \epsilon_2 \longrightarrow 0$$

then for all n, $0 \longrightarrow \mu_{2^n} \xrightarrow{i_n} G^{(n)} \xrightarrow{j_n} \epsilon_{2^n} \longrightarrow 0$ and, therefore,

$$0 \longrightarrow (\mathbb{Q}_2/\mathbb{Z}_2)(1)_{\mathbb{Z}} \longrightarrow \mathcal{G} \longrightarrow (\mathbb{Q}_2/\mathbb{Z}_2)_{\mathbb{Z}} \longrightarrow 0$$

Prove this for n=2.

 $-i_1^*$ induces

 $-j_{1*}$ induces

$$0 \longrightarrow G^{(1)} \xrightarrow{\alpha} H \xrightarrow{\beta} \mu_2 \longrightarrow 0$$

$$\downarrow^{j_1} \qquad \qquad \downarrow^{\delta} \qquad \qquad \downarrow^{id}$$

$$0 \longrightarrow \epsilon_2 \longrightarrow H' \longrightarrow \mu_2 \longrightarrow 0$$

— diagramm chasing gives $2id_D = 0 \& 6$ -terms Hom — Ext

$$0 \longrightarrow \mu_{2} \longrightarrow D \longrightarrow \mu_{2} \longrightarrow 0$$

$$\downarrow^{i_{1}} \qquad \qquad \downarrow^{\delta} \qquad \qquad \downarrow^{id}$$

$$0 \longrightarrow G^{(1)} \longrightarrow H \longrightarrow \mu_{2} \longrightarrow 0$$

The upper row is actually the segment of length 2 of $(\mathbb{Q}_2/\mathbb{Z}_2)_{\mathbb{Z}}$

$$0 \longrightarrow \mu_2 \longrightarrow \mu_4 \longrightarrow \mu_2 \longrightarrow 0$$

and we obtained $i_2 = \gamma \circ \delta : \mu_4 \simeq D \longrightarrow G^{(2)}$.

• There are non-trivial 2-divisible groups of height 2 $/\mathbb{Z}$ but they all are isogeneous to $(\mathbb{Q}_2/\mathbb{Z}_2)_{\mathbb{Z}} \times (\mathbb{Q}_2/\mathbb{Z}_2)(1)_{\mathbb{Z}}$,

1.5.1. The case g = 1 of the Shafarevich Conjecture.

In this case $\mathcal{A}(2)$ is an extension of $(\mathbb{Q}_2/\mathbb{Z}_2)_{\mathbb{Z}}$ via $(\mathbb{Q}_2/\mathbb{Z}_2)(1)_{\mathbb{Z}}$. This extension splits over \mathbb{F}_2 (use the existence of a maximal reduced subscheme over perfect fields of positive characteristic) and $\mathcal{A}_{\mathbb{F}_2} = \mathcal{A} \mod 2$ contains infinitely many \mathbb{F}_2 -rational points.

Contradiction.

1.6. General approach.

1.6.1. 2-divisible groups.

Suppose \mathcal{G} is a 2-div group $/\mathbb{Z}$ and for some a, b

$$0 \longrightarrow \mu_{2,\mathbb{Z}}^a \longrightarrow G^{(1)} \longrightarrow (\mathbb{Z}/2)_{\mathbb{Z}}^b \longrightarrow 0$$
 (the main difficulty)

Then \mathcal{G} is an extension of $(\mathbb{Q}_2/\mathbb{Z}_2)_{\mathbb{Z}}^a$ via $(\mathbb{Q}_2/\mathbb{Z}_2)(1)_{\mathbb{Z}}^b$ and there is no abelian scheme \mathcal{A} over \mathbb{Z} such that $\mathcal{A}(2) \simeq \mathcal{G}$ (similarly to the case g = 1!)

1.6.2. The case g = 2.

Here
$$G = G^{(1)} \in Gr_{\mathbb{Z}}, |G| = 2^4$$
.

$$\operatorname{Gal}(\mathbb{Q}(G)/\mathbb{Q}) \subset \operatorname{GL}_4(\mathbb{F}_2)$$
 — non-soluble.

$$A(G)_{\mathbb{Q}} = \mathbb{Q} \oplus (\bigoplus_{\alpha} K_{\alpha})$$
 corresponds to orbits of the $\Gamma_{\mathbb{Q}}$ -action on \mathbb{F}_2^4 .

Remark. We can assume that 1.6.1. takes place for ffgs of order 8. If at least one orbit spans a proper subspace in \mathbb{F}_2^4 then we get a proper subgroup scheme in $G^{(1)}$ and we obtain property 1.6.1.

The only ways for the lengths of these orbits to consider are:

$$1+15$$
, $1+5+10$, $1+5+5+5$, $1+6+9$ and $1+7+8$

(use that the sum of elements in each orbit should be 0, otherwise we shall have a subgroup of order 2)

- all K_{α} are unramified outside 2;
- $-\prod_{\alpha} D(K_{\alpha}/\mathbb{Q})$ divides $D(A(G)/\mathbb{Z})$

Tate's formula, [31]:
$$v_2(D(A(G))) = n2^h$$
, $n = \dim G \otimes F_2$ gives $\prod_{\alpha} D(K_{\alpha}) \leq 2^{n2^h} = 2^{32}$;

- Lower bounds come from either the Minkowski or the Odlyzko estimates for $|D(K_{\alpha}/\mathbb{Q})|^{1/[K:\mathbb{Q}]}$
 - Odlyzko's estimates (see for the tables in the appendix):

$$[K:\mathbb{Q}] = N \Rightarrow |D(K)|^{1/N} \ge d_N \to d_\infty$$

(Here: $d_{\infty} \approx 22.352$ and $d_{\infty} \approx 41.122$ (under GRH).)

- First case: $[K:\mathbb{Q}] = 15$ implies $8.423^{15} = d_{15}^{15} > 2^{32}$;
- Second case: $3.927^5 \cdot 6.585^10 > 2^{32}$;
- Third case: $(d_5^5)^3 = 3.927^{15} < 2^{32}$ no contradiction:

but such lengths of orbits appear only if all K_{α}/\mathbb{Q} are cyclic of degree 5 over \mathbb{Q} & unramified outside 2, but such fields do not exist (use class field theory)

- Fourth case: here $d_6^6 d_9^9 = 4.549^6 \cdot 6.134^9 > 2^{32}$
- Fifth case: here $d_7^7 \cdot d_8^8 > 2^{32}$ (can be treated also directly)

1.7. The case g = 3.

Still works with Odlyzko's estimates but requires much more complicated count of orbits, [7]. Resulted in:

- 2-divisible groups /Z of height ≤ 6 are isogeneous to the trivial ones.
 - there are no \mathcal{A} over \mathbb{Z} with $g \leq 3$.
 - Tate's estimate is not good enough for $g \ge 4$
 - Our hope: index of A(G) in its integral closure should be very big.

1.7.1. What about p > 2?

In this case Tate's estimate is too bad.

But we have even more: if \mathcal{G} is a p-divisible group $/\mathbb{Z}$ and

$$0 \longrightarrow \mu_p^a \longrightarrow G^{(1)} \longrightarrow \varepsilon_p^b \longrightarrow 0$$
 (the main difficulty!)

then $G^{(1)} \simeq \mu_p^a \times \varepsilon_p 1^b$ and \mathcal{G} is a trivial p-divisible group.

Remark. If there is a non-trivial abelian scheme over \mathbb{Z} then we should have examples of non-trivial p-div groups over \mathbb{Z} for all primes p.

1.8. The Shafarevich Conjecture almost everywhere.

1.8.1. AV's with good ordinary reduction at 2.

$$--\mathcal{A}(2)^{(1)} = G \in \mathrm{Gr}_{\mathbb{Z}}, \ G \otimes \bar{\mathbb{F}}_2 = \mu_{2,\bar{\mathbb{F}}_2}^g \times \epsilon_{2,\bar{\mathbb{F}}_2}^g,$$

— equiv, over
$$\bar{O} := W(\bar{\mathbb{F}}_2)$$

$$0 \longrightarrow \mu_{2,\bar{O}}^g \longrightarrow G^{(1)} \otimes \bar{O} \longrightarrow \epsilon_{2,\bar{O}}^g \longrightarrow 0$$

$$-G \otimes \bar{O} = \sum_{i,j} G_{ij} \in \bigoplus_{i,j} \operatorname{Ext}(\epsilon_{2,\bar{O}}, \mu_{2,\bar{O}}),$$

- Field-of-definition of pts $\mathbb{Q}_{2,ur}(G_{ij}) = \mathbb{Q}_{2,ur}(\sqrt{v_{ij}})$ with $v_{ij} \in \bar{O}^*$
- $\Gamma_{\mathbb{Q}_2}^{(v)}$ acts trivially on $\mathbb{Q}_2(G) \subset \mathbb{Q}_{2,ur}(\{\sqrt{v_{ij}} \mid 1 \leqslant i, j \leqslant g\})$ if v > 1;

•
$$|D(\mathbb{Q}(G))|^{[\mathbb{Q}(G):\mathbb{Q}]^{-1}} < 2^2 < d_4, \ [\mathbb{Q}(G):\mathbb{Q}] \leqslant 3 \Rightarrow \mathbb{Q}(G) \subset \mathbb{Q}(i)$$

— at least one of K_{α} equals \mathbb{Q}

So, no such abelian schemes over \mathbb{Z} .

- 1.8.2. AV's with good ordinary reduction at $p \ge 3$.
 - if $\mathcal{A} \otimes \mathbb{F}_p$ is ordinary at $p, G = \mathcal{A}(p)^{(1)}$ then
 - $\Gamma_{\mathbb{Q}_p}^{(v)}$ acts trivially on $\mathbb{Q}_p(G)$ if v > 1/(p-1);
 - $|D(\mathbb{Q}(G)/\mathbb{Q})|^{[\mathbb{Q}(G):\mathbb{Q}]^{-1}} < p^{p/(p-1)}$

For $p \leq 17$:

$$--Q(G)\subset \mathbb{Q}(\sqrt[p]{1})$$

— e.g.
$$17^{17/16} = 20.293 < d_{600}$$
 but $\mathbb{Q}(\sqrt[17]{1}) \subset \mathbb{Q}(G)$, so $16 \cdot 60 > 600$

and we can proceed via class field theory.

Remark. $19^{19/18} \approx 22.37 > d_{10^7}$????? (under GRH?)

- $G^{(1)}$ is a product of constant etale and mult group schemes $/\mathbb{Z}$;
- $\mathcal{A}(p)$ is trivial and, therefore, no such (non-triv) AV's \mathcal{A} over \mathbb{Z} .

So, the Shafarevich Conjecture holds almost everywhere.

1.9. The Shafarevich Conjecture, general case.

Same method but the ramification estimates proved in general situation:

- $G \in Gr_{W(k)}, k$ perfect of char.p, $pid_G = 0$; Frac $W(k) = K_0$
- $\Gamma_{K_0}^{(1/(p-1))}$ acts trivially on $K_0(G)$;
- $|D(K_0(G)/K_0)|^{1/[K_0(G):K_0]} < p^{1+1/(p-1)}$ (same as for ordinary!)

Two ways to prove the ramification estimate:

- a) J.-M.Fontaine: very elegant and general approach:
- $G \in Gr_{O_K}, p^N id_G = 0, [K : K_0] = e \text{ then } f$
- $\Gamma_K^{(v)}$ acts trivially on K(G) if v > e(N + e/(p-1)) 1

The proof is based on:

- there is an embedding $G \subset p$ -div gr/O_K ;
- rigidity properties of *p*-div groups
- b) Alternative way:
- Fontaine's classification of $G \in Gr_{W(k)}$

identifies the objects of $Gr_{W(k)}$ with the Fontaine-Laffaille modules with filtration of length 1 (if p=2 we should restrict to the subcategory of unipotent objects)

- improved classification for p=2 (removing the restriction to unipotent objects, cf. [4])
 - extract equations for $G \in Gr_{W(k)}$ s.t. $pid_G = 0$:

if the FL module of G is given via

$$(\varphi_1(\bar{m}_1), \varphi_0(\bar{m}_0) = (\bar{m}_1, \bar{m}_0)C$$

with $C \in \mathrm{GL}(W(k))$ then

 $A(G) = W(k)[\bar{X}_1, \bar{X}_0]$ with equations

•
$$(-1/p)\bar{X}_1^p, \bar{X}_0^p) = (\bar{X}_1, \bar{X}_0)C$$

(seems no good presentation via equations for $pid_G \neq 0$)

— explicit computations with upper ramification numbers;

Remark. In author's overview we mentioned in the very beginning one can find very short new proof of the above ramification estimate; cf. also recent author's paper in the archive.

1.10. Extras.

— The above approach could be applied to study the existence of AV's with everywhere good reduction over algebraic number fields with small discriminants, cf. [21]. [5] and, especially,

R.Schoof: There are AV with good reduction everywhere over cyclotomic fields $\mathbb{Q}(\zeta_f)$ if and only if $f \notin \{1, 3, 4, 5, 7, 8, 9, 11, 12, 15\}$. For f = 11, 15 he used estimates under GRH

- A characterization of Galois modules coming from group schemes over W(k), [5]
 - 2. Projective varieties over $\mathbb Q$ with everywhere good reduction

For projective Y/\mathbb{C} , let

$$h^N(Y) := \dim_{\mathbb{C}} H^N(Y, \mathbb{C}), h^{ij}(Y) = \dim_{\mathbb{C}} H^i(Y, \Omega^j_Y).$$

Theorem X/\mathbb{Q} projective with good reduction everywhere then

a)
$$h^1(X_{\mathbb{C}}) = 0$$
, $h^2(X_{\mathbb{C}}) = h^{11}(X_{\mathbb{C}})$, $h^3(X_{\mathbb{C}}) = 0$;

b)
$$h^4(X_{\mathbb{C}}) = h^{22}(X_{\mathbb{C}})$$
 modulo GRH.

2.1. General approach.

 X/\mathbb{Q} a projective non-singular variety; p — prime, $N \in \mathbb{N}$;

—
$$V = H_{et}^N(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_p)$$
 — f.dim $/\mathbb{Q}_p$ with continuous $\Gamma_{\mathbb{Q}}$ -action.

— X has everywhere good reduction \Leftrightarrow

$$X = \mathcal{X} \otimes \mathbb{Q}, \, \mathcal{X} \text{ proper smooth } /\mathbb{Z}$$

Want:
$$V = V_0 \supset V_1 \supset \cdots \supset V_{N-1} \supset V_N = 0$$

with $\mathbb{Q}_p[\Gamma_{\mathbb{O}}]$ -modules V_i , $V_i/V_{i+1} = \mathbb{Q}_p(i)^{s_i}$, $s_i \geqslant 0$

Then

- $\forall l \neq p$, Frob_l of $\mathcal{X} \otimes \mathbb{F}_l$ acts on V_i/V_{i+1} via mult by l^i
- Riemann Conjecture over \mathbb{F}_l (proved by Deligne) \Rightarrow all $l^i = l^{N/2}$;

(use that
$$H_{et}^i(X, \mathbb{Q}_p) = H_{et}^i(\mathcal{X} \otimes \mathbb{F}_l, \mathbb{Q}_p)$$
)

— Comparison to the De Rham cohomology:

if N is odd
$$\Rightarrow h^N = \dim V = 0$$
.

if
$$N = 2N_1$$
 is even $\Rightarrow V \simeq \mathbb{Q}_p(N_1)^s$, $h^N = h^{N_1,N_1}$.

Achieving "Want":

Step I. X has good reduction modulo $l \neq p \Rightarrow V$ unramified at l. (use again $H_{et}^i(X, \mathbb{Q}_p) = H_{et}^i(\mathcal{X} \otimes \mathbb{F}_l, \mathbb{Q}_p)$)

 $T - \Gamma_{\mathbb{Q}}$ -inv lattice in V, \mathbb{Q}_T is the f-of-def of points T/pT then

• $D(\mathbb{Q}_T/\mathbb{Q})$ is a power of p.

Step II_{crys} . X good reduction $\operatorname{mod} p \Rightarrow V$ crystalline $\Gamma_{\mathbb{Q}_p}$ -module (via some embedding $\Gamma_{\mathbb{Q}_p} \subset \Gamma_{\mathbb{Q}}$)

- $N \leq p-1 \Rightarrow V$ comes from Fontaine-Laffaille theory, [19].
- $N \leq p-2 \Rightarrow$ all finite subquotients of V come from FL theory (here the FL functor is fully faithful);

(if $N = p - 1 \Rightarrow$ we need the modification of the FL theory from [6])

- $\mathbb{Q}(p, N) \supset \mathbb{Q}_T$ is the f-of-def of points of all $T/pT \Rightarrow \Gamma_{\mathbb{Q}_p}^{(v)}$ acts trivially on $\mathbb{Q}_p(p, N)$ if v > N/(p-1) (ramification estimates)
- $|D(\mathbb{Q}(p,N)/\mathbb{Q})|^{[K:\mathbb{Q}]^{-1}} < p^{1+N/(p-1)}$ (ready for Odlyzko)

 ${\bf Step}~III_{crys}.~Odlyzko's~estimates:$

Reminder: $[K:\mathbb{Q}] = N \implies |D(K/\mathbb{Q})|^{1/N} \ge d_N \xrightarrow[N \to \infty]{} d_\infty$

- $d_{\infty} \approx 22.352$ and $d_{\infty} \approx 41.122$ (under GRH).
- p = 5, N = 1, 2, 3, then $5^{1+3/4} = 16.7185... < d_{240} = 18.788$
- Gal($\mathbb{Q}(5,3)/\mathbb{Q}$) is soluble, $\mathbb{Q}(5,3) \subset \mathbb{Q}(\zeta_5, \sqrt[5]{\zeta_5 + \zeta_5^{-1}})$.
- N = 4 then (under GRH) $\mathbb{Q}(5,4)$ is still totally ramified at 5 with the same maximal tamely ramified subfield $\mathbb{Q}_5(\zeta_5)$.

Step IV_{crys} . $\Gamma_{\mathbb{Q}}$ -equivariant filtration for T/p

Suppose for some (p, N) where $N \leq p-1$ (our case is $p=5, N \leq 4$):

 $\mathbb{Q}(p, N)$ is totaly ramified at $p \Rightarrow$

- {global behaviour of T/p} \equiv {local behaviour of T/p over $\mathbb{Q}_{p,ur}$ }
- over p, $\mathbb{Q}(p, N)_{tr} = \mathbb{Q}(\zeta_p) \Rightarrow$
- $\Gamma_{\mathbb{Q}}$ -filtration $T/p = H_0 \supset H_1 \supset \cdots \supset H_N = 0$ with $H_i/H_{i+1} \simeq \mathbb{F}_p(i)^{s_i}$
- (Crucial!) $\operatorname{Ext}_{glob}(\mathbb{F}_p(i), \mathbb{F}_p(j)) = \operatorname{Ext}_{p\text{-crys}}(\mathbb{F}_p(i), F_p(j)) = 0 \text{ if } i \geqslant j$
- if $N \leq p-2$ use Fontaine-Laffaille theory

— if N = p - 1 use modification of FL theory this modification takes values in the category of filtered Galois modules, e.g. there are two simple objects

$$\mathbb{F}_p(0) = (\mathbb{F}_p, \mathbb{F}_p) \text{ and } \mathbb{F}_p(p-1) = (\mathbb{F}_p, 0)).$$

Step V_{crus} .

$$\Gamma_{\mathbb{Q}}$$
-filtration $V = V_0 \supset V_1 \supset \cdots \supset V_N = 0$ with $V_i/V_{i+1} = \mathbb{Q}_p(i)^{s_i}$

- devissage in pre-abelian categories, cf. [7,11]
 - 3. Semi-stable case of the Shafarevich Conjecture

Theorem X/\mathbb{Q} projective non-singular with good reduction at $l \neq 3$ and semi-stable reduction at 3 then $h^2(X_{\mathbb{C}}) = h^{11}(X_{\mathbb{C}})$.

3.1. General approach.

— AV's over \mathbb{Q} with bad semi-st reduction modulo one small prime:

Brumer-Kramer, Schoof, Verhoek etc ("tr" ramification at bad reduction + cryst in others)

Again choose a prime p and $N \in \mathbb{N}$;

—
$$V = H^N_{et}(X_{\bar{\mathbb{Q}}}, \mathbb{Q}_p)$$
 — f.dim $/\mathbb{Q}_p$ with continuous $\Gamma_{\mathbb{Q}}$ -action.

Want:

— if N is odd
$$\Rightarrow h^N = \dim V = 0$$
.

— if
$$N = 2N_1$$
 is even $\Rightarrow V \simeq \mathbb{Q}_p(N_1)^s$, $h^N = h^{N_1,N_1}$.

Steps $II_{sst}, III_{sst}, IV_{sst}$.

X has s-st reduction at $p \Rightarrow V$ s-st $\Gamma_{\mathbb{Q}_p}$ -module (via some $\Gamma_{\mathbb{Q}_p} \subset \Gamma_{\mathbb{Q}}$)

 $T - \Gamma_{\mathbb{O}}$ -inv lattice in V,

 $\mathbb{Q}_{st}(p,N)$ — the field-of-definition of pts of T/p.

- N can be described via Breuil's theory.
- $N \leqslant p-2 \Rightarrow$ Breuil's theory describes T/p over \mathbb{Q}_p ;
- $N = p 1 \Rightarrow$ modification of Breuil's theory describes T/p over $\mathbb{Q}_{p,ur}$.
 - $|D(\mathbb{Q}_{st}(p,N)/\mathbb{Q})|^{[\mathbb{Q}_{st}(p,N):\mathbb{Q}]^{-1}} < p^{2+N/(p-1)-1/p}$.

—
$$N=2,\,p=5\Rightarrow 5^{2+1/2-1/5}>d_{\infty}$$
 (even under GRH)

• can't apply Breuil's theory

• N = 2, p = 3 (use modification!), $3^{3-1/3} < d_{238}$ —soluble case! $[\mathbb{Q}_{st}(3,2):\mathbb{Q}] < d_{238}$, $\mathbb{Q}_{st}(3,2) = \mathbb{Q}(\zeta_9, \sqrt[3]{3})$.

Computational Part — SAGE

Theorem $\mathbb{Q}_{st}(3,2) = K_1 = \mathbb{Q}(\zeta_9, \sqrt[3]{3})$

- a) $h_{K_1} = 1$;
- b) $K_1(\sqrt[3]{\varepsilon})$: {8 fund. units & ζ_9 }; let $\pi \in K_1$, $(\pi^{18}) = (3)$.

Non-trivial extension $K_1(\sqrt[3]{\eta})$ inside K(3,2) means

(quiet typical for all Schoof's papers)

 $\eta \equiv 1 \mod(\pi^{19})$ (use ramification estimate)

BUT there is a basis ε_i , $1 \leq 9$, of units modulo cubes such that

$$18v_3(\varepsilon_i - 1) = 1, 2, 4, 5, 7, 8, 11, 13, 16.$$

Step V_{st} .

Similar to V_{crys} but $\operatorname{Ext}_{3-\operatorname{sst}}(\mathbb{F}_3(1),\mathbb{F}_3(1)) = \mathbb{F}_3 \neq 0$.

We overcome this problem by proving that such subquotient never appears as a subquotient of any such 3-divisible group.

Appendix. Odlyzko tables for lower bounds of the root discriminants of algebraic number fields

Table 1: GRH BOUNDS FOR DISCRIMINANTS

n	b	D^{1/n}	b	D^{1/n}
1	0.340	0.996	0.300	0.874
2	0.700	2.225	0.580	1.721
3	1.050	3.630	0.800	2.519
4	1.350	5.124	1.050	3.263
5	1.550	6.640	1.200	3.954
6	1.750	8.143	1.350	4.592
7	1.900	9.611	1.500	5.185
8	2.050	11.036	1.600	5.734
9	2.200	12.410	1.700	6.247
10	2.300	13.736	1.800	6.726
11	2.400	15.012	1.900	7.176
12	2.500	16.238	2.000	7.598
13	2.550	17.422	2.050	7.997

14	2.650	18.559	2.100	8.371
15	2.700	19.657	2.200	8.730
16	2.800	20.711	2.250	9.068
17	2.850	21.734	2.300	9.390
18	2.900	22.720	2.400	9.697
19	2.950	23.672	2.450	9.990
20	3.000	24.594	2.500	10.270
21	3.100	25.474	2.550	10.539
22	3.100	26.351	2.550	10.797
23	3.100	27.178	2.600	11.045
24	3.200	28.001	2.650	11.283
25	3.200	28.787	2.700	11.512
26	3.300	29.554	2.750	11.733
28	3.300	31.020	2.800	12.153
30	3.400	32.425	2.850	12.545
32	3.500	33.750	2.950	12.912
34	3.500	35.005	3.000	13.258
36	3.600	36.219	3.000	13.581
38	3.700	37.356	3.100	13.894
40	3.700	38.471	3.100	14.183
42	3.800	39.514	3.200	14.465
44	3.800	40.542	3.200	14.728
46	3.800	41.504	3.300	14.984
48	3.900	42.456	3.300	15.225
50	3.900	43.356	3.400	15.456
52	4.000	44.230	3.400	15.680
56	4.000	45.884	3.500	16.097
60	4.100	47.452	3.500	16.482
64	4.200	48.913	3.600	16.846
68	4.200	50.285	3.700	17.180
72	4.300	51.601	3.700	17.497
76	4.400	52.822	3.800	17.793
80	4.400	54.014	3.800	18.073
84	4.500	55.119	3.900	18.338
88	4.500	56.204	3.900	18.589
92	4.500	57.214	4.000	18.826
96	4.600	58.205	4.000	19.055
100	4.600	59.141	4.000	19.268
110	4.700	61.335	4.100	19.770
120	4.800	63.335	4.200	20.221
130	4.900	65.169	4.300	20.631
140	5.000	66.853	4.400	21.003
150	5.000	68.426	4.400	21.345
160	5.100	69.897	4.500	21.666
170	5.200	71.255	4.600	21.959

5.200	72.553	4.600	22.236
5.300	73.760	4.700	22.493
5.300	74.909	4.700	22.735
5.400	77.026	4.800	23.178
5.500	78.943	4.900	23.575
5.600	80.689	5.000	23.934
5.700	82.283	5.100	24.258
5.700	83.775	5.100	24.560
5.800	85.155	5.200	24.838
5.900	86.424	5.200	25.091
5.900	87.642	5.300	25.332
6.000	88.760	5.400	25.552
6.000	89.833	5.400	25.763
6.200	93.555	5.600	26.485
6.400	97.979	5.800	27.328
6.600	101.488	6.000	27.984
6.800	104.361	6.100	28.515
6.900	106.815	6.300	28.961
6.900	107.548	6.300	29.094
7.200	110.728	6.500	29.673
7.200	112.575	6.600	29.992
7.800	122.112	7.200	31.645
8.400	132.020	7.800	33.298
8.600	132.126	7.800	33.315
9.200	139.766	8.400	34.541
			34.768
10.400		9.800	36.613
			37.994
12.500	168.971	11.800	38.895
	5.300 5.300 5.400 5.500 5.600 5.700 5.700 5.800 5.900 6.000 6.000 6.200 6.400 6.600 6.800 6.900 7.200 7.200 7.200 7.800 8.400 8.600 9.200 9.200	5.300 73.760 5.300 74.909 5.400 77.026 5.500 78.943 5.600 80.689 5.700 82.283 5.700 83.775 5.800 85.155 5.900 86.424 5.900 87.642 6.000 89.833 6.200 93.555 6.400 97.979 6.600 101.488 6.800 104.361 6.900 106.815 6.900 107.548 7.200 112.575 7.800 122.112 8.400 132.020 8.600 132.126 9.200 141.218 10.400 153.252 11.600 162.651	5.300 73.760 4.700 5.300 74.909 4.700 5.400 77.026 4.800 5.500 78.943 4.900 5.600 80.689 5.000 5.700 82.283 5.100 5.700 83.775 5.100 5.800 85.155 5.200 5.900 86.424 5.200 5.900 87.642 5.300 6.000 89.833 5.400 6.200 93.555 5.600 6.400 97.979 5.800 6.600 101.488 6.000 6.800 104.361 6.100 6.900 106.815 6.300 7.200 110.728 6.500 7.800 122.112 7.200 8.400 132.020 7.800 8.600 132.126 7.800 9.200 139.766 8.400 9.200 141.218 8.600 10.400 153.252 9.800 11.600 162.651 10.800

Table 2: UNCONDITIONAL BOUNDS FOR DISCRIMINANTS

n	b	D^{1/n}	b	D^{1/n}
1	0.420	0.996	0.360	0.874
2	0.900	2.222	0.700	1.719
3	1.350	3.609	1.000	2.513
4	1.750	5.062	1.300	3.250
5	2.050	6.514	1.550	3.927

6	2.350	7.926	1.750	4.549
7	2.600	9.279	1.950	5.121
8	2.850	10.568	2.100	5.646
9	3.100	11.787	2.300	6.134
10	3.300	12.941	2.450	6.585
11	3.400	14.034	2.600	7.004
12	3.600	15.068	2.750	7.395
13	3.800	16.044	2.900	7.760
14	3.900	16.971	3.000	8.102
15	4.000	17.849	3.100	8.423
16	4.200	18.684	3.200	8.725
17	4.300	19.479	3.300	9.010
18	4.400	20.234	3.400	9.280
19	4.600	20.954	3.500	9.536
20	4.700	21.642	3.600	9.779
21	4.800	22.299	3.700	10.010
22	4.900	22.929	3.800	10.229
23	5.000	23.531	3.900	10.438
24	5.100	24.109	4.000	10.638
25	5.200	24.664	4.000	10.829
26	5.300	25.196	4.100	11.013
28	5.400	26.203	4.300	11.357
30	5.600	27.138	4.400	11.675
32	5.800	28.008	4.500	11.969
34	5.900	28.821	4.700	12.243
36	6.100	29.582	4.800	12.498
38	6.200	30.298	4.900	12.737
40	6.300	30.971	5.000	12.961
42	6.500	31.607	5.100	13.172
44	6.600	32.209	5.200	13.371
46	6.700	32.778	5.300	13.558
48	6.800	33.319	5.400	13.736
50	7.000	33.832	5.600	13.905
52	7.000	34.322	5.600	14.066
56	7.200	35.233	5.800	14.364
60	7.400	36.067	6.000	14.634
64	7.600	36.834	6.100	14.883
68	7.800	37.541	6.300	15.112
72	8.000	38.197	6.400	15.323
76	8.200	38.806	6.600	15.520
80	8.400	39.373	6.700	15.702
84	8.600	39.903	6.900	15.872
88	8.600	40.402	7.000	16.032
92	8.800	40.871	7.000	16.180
96	9.000	41.312	7.200	16.321
		-		

100	9.200	41.728	7.400	16.454
110	9.400	42.678	7.600	16.756
120	9.800	43.513	7.800	17.020
130	10.000	44.256	8.200	17.255
140	10.400	44.921	8.400	17.466
150	10.600	45.522	8.600	17.655
160	10.800	46.067	8.800	17.826
170	11.200	46.565	9.000	17.982
180	11.400	47.021	9.200	18.125
190	11.600	47.444	9.400	18.257
200	11.800	47.833	9.600	18.379
220	12.000	48.530	10.000	18.597
240	12.500	49.142	10.200	18.788
260	13.000	49.680	10.600	18.955
280	13.500	50.156	10.800	19.104
300	13.500	50.588	11.200	19.237
320	14.000	50.977	11.400	19.358
340	14.000	51.328	11.600	19.467
360	14.500	51.652	11.800	19.567
380	15.000	51.947	12.000	19.658
400	15.000	52.221	12.500	19.742
480	16.000	53.130	13.000	20.023
600	17.000	54.122	14.000	20.329
720	18.000	54.842	15.000	20.551
840	19.000	55.396	16.000	20.722
960	20.000	55.837	17.000	20.856
1000	21.000	55.966	17.000	20.895
1200	22.000	56.500	18.000	21.059
1332	23.000	56.780	19.000	21.144
2400	28.000	58.061	23.000	21.535
4800	35.000	59.069	29.000	21.843
4840	35.000	59.079	29.000	21.845
8862	42.500	59.655	35.000	22.021
10000	45.000	59.746	37.500	22.049
31970	67.500	60.332	57.500	22.226
100000	75.000	60.582	75.000	22.308
254228	75.000	60.656	75.000	22.335
1000000	75.000	60.691	75.000	22.348
2391978	75.000	60.698	75.000	22.350
10000000	75.000	60.702	75.000	22.352

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